

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

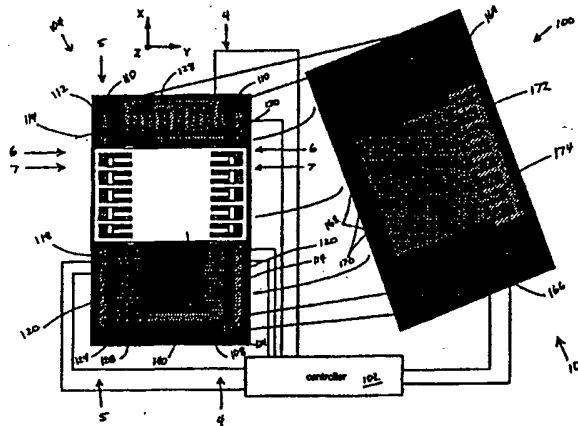
(51) International Patent Classification <sup>6</sup> : <b>G11B 7/00, G01N 23/00, H01J 27/00, A61N 5/00, G11C 13/00, G01B 5/28</b>		A1	(11) International Publication Number: <b>WO 97/04449</b>
			(43) International Publication Date: 6 February 1997 (06.02.97)
(21) International Application Number: PCT/US96/12255			(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 24 July 1996 (24.07.96)			
(30) Priority Data: 08/506,516 24 July 1995 (24.07.95) US			
(71) Applicant (for all designated States except US): GENERAL NANO TECHNOLOGY [US/US]; 1119 Park Hill Road, Berkeley, CA 94708 (US).			
(72) Inventor; and (75) Inventor/Applicant (for US only): KLEY, Victor, B. [US/US]; 1119 Park Hill Road, Berkeley, CA 94708 (US).			
(74) Agents: TEST, Aldo, J. et al.; Flehr, Hohbach, Test, Albritton & Herbert, Suite 3400, 4 Embarcadero Center, San Francisco, CA 94111-4187 (US).			

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: NANOMETER SCALE DATA STORAGE DEVICE AND ASSOCIATED POSITIONING SYSTEM



(57) Abstract

A data storage system that includes a positioning system for positioning the write/read mechanism and the storage medium (202) of the data storage device (200) with respect to each other in first and second predefined directions. The positioning system (100) comprises a positioning apparatus comprising microfabricated first and second positioning assemblies. The positioning system further comprises a controller (102) to position a positionable support structure (140) of the first positioning assembly (104) in a first predefined direction within a range of positioning that is larger than the range of movement of a moveable support structure (118) of the first positioning assembly by controlling (A) a stationary support structure clamp (150) in clamping and unclamping the positionable support structure to and from the stationary support structure, (B) a moveable support structure clamp (144) in clamping and unclamping the positionable support structure to and from the moveable support structure, and (C) the movement of the moveable support structure.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## NANOMETER SCALE DATA STORAGE DEVICE AND ASSOCIATED POSITIONING SYSTEM

### FIELD OF THE INVENTION

5       The present invention relates generally to data storage devices and their associated positioning systems. In particular, it relates to data storage devices to store and recover data by producing optical, electrical, or mechanical changes in storage media at nanometer level (i.e., scale) increments (i.e., intervals) with microfabricated structures which are positionable at nanometer level increments with the positioning system of the data storage devices.

10

### BACKGROUND OF THE INVENTION

UV erasable programmable read only memories (UVPROMs) are well known to those skilled in the art. These types of memories comprise distinct charge storage cells or sites and include a separate read/write line to each of the charge storage cells. In order to write data to the UVPROM, it is first bulk erased by exposing simultaneously all of the charge storage cells to UV light or radiation to leak off any charges stored by them. Then, data is written to selected charge storage cells by injecting charges in them with the corresponding read/write lines. These charges may then be detected with the read/write lines so as to read data from the charge storage cells. Since UVPROMs include separate read/write lines to the charge storage cells, the charge storage cells are not able to be spaced apart at nanometer level increments so that the overall size of the UVPROM could be reduced. However, a UVPROM type structure with charge storage cells at nanometer level increments could be used if a mechanism were developed that could (1) selectively and individually write data to each charge storage cell by leaking off a charge in the charge storage cell with UV light, and (2) electrically read data from each storage cell by detecting or sampling a charge in the charge storage cell without a read line to the charge storage cell.

25

Moreover, recently attempts have been made at providing data storage devices where data can be electrically or mechanically written to and electrically read from a storage medium at nanometer level increments. However, these data storage devices all suffer from significant problems.

30

For example, U.S. Patent No. 5,317,533, describes a data storage device utilizing scanning tunneling microscope (STM) probes to read and write data to a storage medium by producing and measuring tunneling currents between the STM probes and the storage medium. Furthermore, U.S. Patent 5,289,408 describes a similar data storage device with a piezoelectric positioning apparatus for positioning STM probes over the storage medium to read and write data to the storage medium. This positioning apparatus is bulky and impractical to use as a part of a data storage device in a computing system. Moreover, since positioning of the STM probes over the storage medium in the X and Y directions is limited to the range of movement of the X and Y piezoelectric translator elements of the positioning apparatus, the storage capacity of this data

35

storage device is also limited by this range of movement. And, to increase this range of movement so that the storage capacity of the data storage device is increased, the size of the X and Y piezoelectric translator elements must also be increased. This unfortunately increases the overall size, read/write times, weight, and power requirements of the data storage device.

5 Furthermore, U.S. Patent No. 5,038,322 describes still another data storage device that utilizes STM probes. In this storage device, the STM probes are used to deform a deformable storage medium to write data to it which is represented by the deformations. Then, by producing and measuring a tunneling current between the STM probes and the storage medium, the deformations can be identified so as to read from the storage medium the data that was written  
10 to it. However, the STM probes comprise a soft conductive material, such as conductive silicon, tungsten, aluminum, or gold which wears down after prolonged use in deforming the storage medium. Thus, the useful life of this type of data storage device is limited.

#### SUMMARY OF THE INVENTION

15 The foregoing problems are solved by a data storage system that includes a positioning system for positioning the write/read mechanism and the storage medium of the data storage device with respect to each other in first and second predefined directions. The positioning system comprises a positioning apparatus comprising microfabricated first and second positioning assemblies.

20 The first positioning assembly includes a stationary support structure, a moveable support structure, a positionable support structure, a stationary support structure clamp, and a movable support structure clamp. The movable support structure is movably coupled to the stationary support structure and is moveable within a range of movement in a first predefined direction with respect to the stationary support structure. The positioning system further comprises a controller  
25 to position the positionable support structure in the first predefined direction within a range of positioning that is larger than the range of movement of the moveable support structure. It does so by controlling (A) the stationary support structure clamp in clamping and unclamping the positionable structure to and from the support structure, (B) the moveable structure clamp in clamping and unclamping the positionable support structure to and from the moveable support  
30 structure, and (C) the movement of the moveable support structure.

In one embodiment, the second positioning assembly comprises a stationary support structure and a moveable support structure. The movable support structure is movably coupled to the stationary support structure and is moveable within a range of movement in a second predefined direction with respect to the stationary support structure. The controller controls the  
35 positioning of the moveable structure in the second direction within the range of movement of the moveable structure. In another embodiment, the second positioning assembly may be constructed and controlled in the same way as the first positioning assembly.

In one embodiment, one of the write/read mechanism and the storage medium is carried by the positionable support structure so that it is positioned with the first positioning assembly. The other one of the write/read mechanism and the storage medium is positioned with the second positioning assembly. In another embodiment, the positionable support structure carries the second positioning assembly and one of the write/read mechanism and the storage medium is positioned with the second positioning assembly while the other is held stationary.

In one embodiment, the storage medium is deformable and the write/read mechanism comprises one or more write probes and one or more read probes. The write probes each include a write tip with a highly obdurate coating capable of deforming the storage medium and a write tip positioning apparatus to lower the write tip. The read probes each include a conductive read tip. The controller is used to (A) during a write mode, control the first and second positioning apparatus in positioning the write probes over the storage medium, (B) during the write mode, control each write tip positioning apparatus in lowering the corresponding write tip a predetermined amount into the storage medium so as to cause a predetermined amount of deformation in the storage medium representing data written thereto, (C) during a read mode, control the first and second positioning apparatus in positioning the read probes over the storage medium, and (D) during the read mode, produce and measure a tunneling current between each conductive read tip and the storage medium to identify a predetermined amount of deformation caused in the storage medium during the write mode so that the data written thereto is read therefrom.

In another embodiment, the data storage device comprises one or more probes each comprising a tip with a conductive highly obdurate coating capable of deforming the storage medium and a tip positioning apparatus to lower the tip. The controller in this embodiment is used to (A) during a write mode, control the probe and storage medium positioning apparatus in positioning the probes over the storage medium, (B) during the write mode, control each tip positioning apparatus in lowering the corresponding tip a predetermined amount into the storage medium so as to cause a predetermined amount of deformation in the storage medium representing data written thereto, (C) during a read mode, control the probe and storage medium positioning apparatus in positioning the probes over the storage medium, (D) during the read mode, control each tip positioning apparatus in lowering the corresponding tip close to the storage medium, and (E) during the read mode, produce and measure a tunneling current between the conductive obdurate coating of each tip and the storage medium to identify a predetermined amount of deformation caused in the storage medium during the write mode so that the data written thereto is read therefrom.

In still another embodiment, the data storage device comprises a storage medium alterable by light, one or more light emitting write probes each capable of emitting light, and one or more read probes each capable of detecting alterations of the storage medium caused by light. The controller is used in this embodiment to (A) during a write mode, control the positioning apparatus in positioning the write probes over the storage medium so that the light emitting write

tips are over the storage medium, (B) during the write mode, control each light emitting write probe to emit a predetermined amount of light so as to cause a predetermined amount of alteration of the storage medium so as to write data thereto, (C) during read modes, control the positioning apparatus in positioning the read probes over the storage medium so that each read probe detects  
5 a predetermined amount of alteration of the storage medium caused during the write mode, and (D) during the read mode, measure each detected predetermined amount of alteration of the storage medium so that the data written to the storage medium during the write mode is read therefrom.

In yet another embodiment, the data storage device comprises an electrically alterable  
10 storage medium, a triangular ridge support structure, one or more conductive triangular ridges on the base structure, and an acoustic wave generator on one of the triangular ridge support structure and the storage medium to produce surface acoustic waves thereon that propagate in a direction parallel to the axial length of the triangular ridges. The controller in this embodiment is used to (A)  
15 during a write mode, control the positioning apparatus in positioning the triangular ridge support structure over the storage medium so that each triangular ridge is over a corresponding region of the storage medium to be written, (B) during the write mode, control the acoustic wave generator to produce an acoustic wave, (C) during the write mode, apply at a predetermined time across each triangular ridge and the storage medium a voltage pulse having a predetermined voltage and duration while the acoustic wave produced during the write mode propagates so that a portion of  
20 the triangular ridge above the corresponding region to be written is displaced down theretoward and the corresponding region to be written is electrically altered by a predetermined amount, (D) during a read mode, control the positioning apparatus in positioning the triangular ridge support structure over the storage medium so that each triangular ridge is over a corresponding region of the storage medium to be read, (E) during the read mode, control the acoustic wave generator to  
25 produce an acoustic wave, (F) during the read mode, with each triangular ridge at a predetermined time while the acoustic wave produced during the read mode propagates so that a portion of the triangular ridge above the corresponding region to be read is displaced down theretoward, detect a predetermined amount of electrical alteration of the corresponding region to be read caused during the write mode, (G) during the read mode, measure each detected predetermined amount  
30 of electrical alteration of the corresponding region to be read so that the data written thereto during the write mode is read therefrom.

In still yet another embodiment, the positioning system is used in a biochemical instrument. The biochemical instrument comprises a probe that includes a porous tip and a tip positioning apparatus to position the tip with respect to a sample material. The positioning  
35 apparatus is used to position the probe and sample material with respect to each other. The controller is used to (A) control the positioning apparatus in positioning the probe over the sample, and (B) control the tip positioning apparatus in lowering the tip into the sample material to produce a biochemical interaction between the porous tip and the sample material.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a positioning system in accordance with the present invention.

Figure 2 shows another embodiment of the positioning system of Figure 1.

Figure 3 shows yet another embodiment of the positioning system of Figure 1.

5        Figure 4 shows a cross sectional side view of the positioning system of Figure 1 along the line 4-4.

Figure 5 shows a cross sectional side view of the positioning system of Figure 1 along the line 5-5.

10       Figure 6 shows a cross sectional side view of the positioning system of Figure 1 along the line 6-6.

Figure 7 shows a cross sectional side view of the positioning system of Figure 1 along the line 7-7.

Figure 8 shows the positionable support structure of the positioning system of Figure 1.

15       Figure 9 shows a data storage device in accordance with the invention which includes the positioning system of Figure 1.

Figure 10 shows a write probe capable of being used in the data storage device of Figure 9.

Figure 11 shows another embodiment of the tip positioning apparatus of the probes of Figures 10, 12

20       Figure 12 shows a read probe capable of being used in the data storage device of Figure 9.

Figure 13 shows a side cross sectional view of a storage medium capable of being used in the data storage device of Figure 9.

Figure 14 shows top cross sectional view of the storage medium of Figure 13.

25       Figure 15 shows another storage medium capable of being used in the data storage device of Figure 9.

Figure 16 shows a side cross sectional view of the storage medium of Figure 15.

Figure 17 shows another write probe capable of being used in the data storage device of Figure 9.

30       Figure 18 shows still another write probe capable of being used in the data storage device of Figure 9.

Figure 19 shows another embodiment of the read/write mechanism of Figure 9.

Figure 20 provides another view of the read/write mechanism of Figure 19.

Figure 21 shows another embodiment of the read/write mechanism of Figure 19.

35       Figure 22 shows a top view of the read/write mechanism of Figure 21.

Figure 23 shows a scanning probe microscope assembly in accordance with the present invention.

Figure 24 shows another embodiment of the scanning probe microscope assembly.

## DESCRIPTION OF SPECIFIC EMBODIMENTS OF THE INVENTION

The present invention primarily concerns various types of data storage systems. These data storage systems are related by their positioning systems, storage mediums, and/or read/write mechanisms.

5

## Positioning System

Referring to Figure 1, there is shown a positioning system 100 for positioning objects at nanometer level or scale increments. As will be more evident from the following discussions, the positioning system may be used as the positioning system in the data storage devices described herein or as the positioning system in measuring systems (such as atomic force microscopes (AFMs), scanning tunneling microscopes (STMs), optical microscopes, and near-field microscopes), microfabrication systems, or other instruments that require precise positioning.

Positioning system 100 includes a programmed controller 102 and a microfabricated XY translator or positioning apparatus comprising an X translator assembly 104 to move an object in the X direction and a Y translator assembly 106 to move an object in the Y direction. When assembled, the X and Y translator assemblies are mounted together with mounting pedestals or bumps 108 and 110. The assembled X and Y translator assemblies are sealed airtight in a vacuum or are evacuated as a final assembly step. Operation in a vacuum substantially improves the operational speed of all mechanical elements of the positioning system and also inhibits the formation of oxides on these elements. Alternatively, the positioning system may be assembled in and filled with an inert gas, such as argon, at or near atmospheric pressure.

X translator assembly 104 may be formed of a semiconductive material, such as silicon, and comprises a stationary support structure and a moveable support structure movably coupled to the stationary support structure. The stationary support structure comprises a stationary support structure base 112 and a pair of stationary support structure rails or bars 114. The stationary support structure base and rails are integrally connected together. The moveable support structure comprises a moveable support structure base 118 and a pair of moveable support structure rails 120. The moveable support structure base and rails are integrally connected together.

Furthermore, referring to Figures 1 and 4, mounting pedestals 108 and 110 are integrally connected to stationary support structure base 112. Spring connectors 124 are integrally connected to mounting pedestals 108 and are integrally connected to one end of moveable support structure base 118 and physically suspend this end over the stationary support structure base. Moreover, the spring connectors act as springs. Thus, the moveable support structure is physically movably coupled to the stationary support structure by mounting pedestals 108 and spring connectors 124.

Referring back to Figure 1, to move or drive the moveable support structure, X translator assembly 104 also includes an electrostatic comb drive or actuator comprising a stationary comb



structur 128 and a movable comb structure 130. The stationary comb structure is integrally connected to stationary support structure base 112. The moveable comb structure is integrally connected to moveable support structure base 118.

The electrostatic comb drive is of the type and operates in the manner described in  
5 "Electrostatic Comb Drive for Resonant Sensor and Actuator Applications", University of California at Berkeley Doctoral Dissertation, by William Chi-Keung Tang November 21, 1990, which is hereby explicitly incorporated by reference. Specifically, the comb fingers of moveable comb structure 130 are aligned between the comb fingers of stationary comb structure 128. And, referring to Figures 1 and 4, the stationary and moveable comb structures are made to be  
10 conductive so that when a differential voltage is applied across them, their comb fingers interact electrostatically with each other and the moveable comb structure is electrostatically suspended over stationary support structure base 112 and moves with respect to the stationary comb structure in the X direction. Thus, since one end of moveable support structure base 118 is integrally connected to the moveable comb structure, the moveable support structure is  
15 electrostatically movably coupled to the stationary support structure and is moveable in the X direction.

Turning again to Figure 1, in order to control the electrostatic comb drive described above, positioning system 100 includes controller 102. The controller is electrically coupled to stationary and moveable comb structures 128 and 130 and provides a differential voltage across them. By  
20 controlling the level of the differential voltage, the controller can control movement of or drive the moveable support structure back and forth in the X direction over the stationary support structure with the electrostatic comb drive. For example, when a suitably large differential voltage is applied, the moveable support structure moves toward the mounting pedestals 108 and forces spring connectors 124 to be deflected to a position different than their normal undeflected position.  
25 Then, when no or a suitably small differential voltage is applied, the spring connectors return to their normal undeflected position and force the moveable support structure back to or to be retracted to its original position.

Moreover, controller 112 can control movement of the moveable support structure in nanometer level increments (e.g., 10 nanometer increments). In other words, the controller can  
30 control positioning of the moveable support structure at the nanometer level. However, as is evident from the foregoing, the moveable support structure has only a limited range of movement in the X direction at the micrometer level (e.g., 35 to 45 micrometers).

In an alternative embodiment, a second electrostatic comb drive replaces mounting pedestals 108 and spring connectors 124 to electrostatically move and suspend one end of the  
35 moveable support structure base 118. Thus, in this case, the second electrostatic comb drive is used similarly to and in conjunction with the earlier described electrostatic comb drive to electrostatically movably couple the moveable support structure to the stationary support structure.

In another embodiment, as shown in Figure 2, the electrostatic comb drive of X translator

assembly 104 is replaced by a heater drive comprising a thermally expandable and contractible structure 132 and heater elements 134 on the thermally expandable and contractible structure. One end of the thermally expandable and contractible structure is integrally connected to stationary support structure base 112. The other end of the thermally expandable and contractible structure is integrally connected to moveable support structure base 118 and suspends over the stationary support structure base the end of the moveable support structure base coupled to it. The heater elements are used to selectively heat the thermally expandable and contractible structure so that it thermally expands and contracts and moves back and forth in the X direction. Thus, since one end of the moveable support structure base is integrally connected to the thermally expandable and contractible structure, the moveable support structure is physically movably coupled to the stationary support structure by the thermally expandable and contractible structure and is moveable back and forth in the X direction.

Furthermore, in this embodiment, to control the heater drive just described, controller 102 is electrically coupled to heater elements 134 and thermally expandable and contractible structure 132 to provide a current that flows through the heater elements. By controlling the amount of current that flows through the heater elements, the controller can control positioning of the moveable support structure in nanometer level increments in the X direction in a similar manner to that described earlier for the embodiment of Figure 1.

In another embodiment shown in Figure 3, a piezoelectric drive formed by a piezoelectric structure 136 and electrodes 138 fixed to the piezoelectric structure (with the electrode on the underside of the piezoelectric structure not being shown) is used to control movement of the moveable support structure of X translator assembly 104. The piezoelectric structure may comprise silicon dioxide such that one end of the piezoelectric structure is integrally connected to moveable support structure base 118 and suspends over stationary support structure base 112 the end of the moveable support structure base coupled to it. The other end of the piezoelectric structure is integrally connected to a stationary suspension structure 139 which is itself integrally connected to the stationary support structure base and suspends the piezoelectric structure over the stationary support structure base. The electrodes are used to selectively apply a voltage to the piezoelectric structure to expand and contract it so that it moves back and forth in the X direction. Thus, since one end of the moveable support structure base is connected to the piezoelectric structure, the moveable support structure is physically movably coupled to the stationary support structure by the piezoelectric structure and is moveable in the X direction.

To control the piezoelectric drive just described, controller 102 is electrically coupled to electrodes 138 so that it can provide a voltage across the electrodes which is applied to piezoelectric structure 136 by the electrodes. The controller can control positioning of the moveable support structure in nanometer level increments back in the X direction over the stationary support structure in a similar manner to that described earlier for the embodiment of Figure 1. It does so by controlling the level of voltage applied to the piezoelectric structure.

Furthermore, turning again to Figure 1, the stationary support structure includes stationary support structure rails 114 and the moveable support structure includes moveable support structure rails 120, as alluded to earlier. As shown in Figure 5, each of the stationary support structure rails have ends integrally connected to stationary support structure base 112 and have rail portions that are spaced from the stationary support structure base. In addition, referring to Figure 4, the moveable support structure rails each have ends integrally connected to moveable support structure base 118 and have rail portions that are spaced from the moveable support structure base.

Referring back to Figure 1, X translator assembly 104 further includes a positionable support structure 140 which carries an object to be moved in the X direction. The X translator assembly also includes a moveable support structure rail clamp and a stationary support structure rail clamp to help position the positionable support structure and the object it carries at the nanometer level in the X direction over a range of positioning that is greater than the range of movement of the moveable support structure.

As shown in Figures 6-8, the moveable support structure rail clamp comprises clamping bar extensions or fingers 142, clamping bars 144, push arms 146, and heater elements 160. The stationary support structure rail clamp comprises clamping bar extensions 148, clamping bars 150, push arms 152, and heater elements 162.

Referring to Figures 6 and 7, clamping bar extensions 142 are integrally connected to positionable support structure 140 and extend over moveable support structure rails 120 and bend down toward moveable support structure base 118. Similarly, clamping bar extensions 148 are integrally connected to the positionable support structure and extend over stationary support structure rails 114 and bend down toward stationary support structure base 112.

The curved shape of clamping bar extensions 142 and 148 is due to several factors. First, referring to Figure 8, the underside of positionable support structure 140 includes conductive interconnects or lines 154, 156, and 158. These interconnects may comprise tungsten and are patterned on and throughout the positionable support structure including on the undersides of the clamping bar extensions. The tensile force of the interconnects on the undersides of the clamping bar extensions helps produce their curved shape. Second, referring back to Figures 6 and 7, during fabrication, the clamping bar extensions are doped with phosphorous which also helps in producing their curved shape.

Still referring to Figures 6 and 7, clamping bars 144 and 150 respectively bend in toward moveable and stationary support structure rails 120 and 114 because they are respectively integrally connected to curve shaped clamping bar extensions 142 and 148. Furthermore, when push arms 146 and 152 are in their natural positions, clamping bars 144 and 150 respectively bend in an engage moveable and stationary support structure rails 120 and 114. This is due to the fact that, in their natural position, push arms 146 and 152 do not extend out far enough in the Y direction to respectively engage clamping bars 144 and 150. As a result, under these

conditions, positionable support structure 140 is clamped and coupled to the moveable and stationary support structure rails.

Moveable and stationary support structure rails 120 and 114 are made to be conductive. Referring to Figure 8, therefore, when the moveable support structure clamp clamps positionable support structure 140 to the moveable support structure rails, the moveable support structure rails are respectively electrically coupled to interconnects 154 and 156. Similarly, when the positionable support structure is clamped to the stationary support structure rails by the stationary support structure clamp, the stationary support structure rails are respectively electrically coupled to interconnects 156 and 158.

Furthermore, positionable support structure 140 and push arms 146 and 152 are made to be conductive or semiconductive and are electrically coupled to interconnect 156. And, interconnect 154 is electrically coupled to heater elements 160 located on stationary support structure rail clamping push arms 148. Moreover, interconnect 158 is electrically coupled to heater elements 162 located on moveable support structure rail clamping push arms 142.

Therefore, when positionable support structure 140 is clamped to stationary support structure rails 114, and no or a suitably small differential voltage is applied across them, no current flows through interconnect 154, heater elements 160, and interconnect 156. As a result, push arms 146 remain in their normal positions because heater elements 160 are not activated. However, when a suitably large differential voltage is applied across the stationary support structure rails, current does flow through interconnect 154, heater elements 160, and interconnect 156. Since heater elements 160 are located on push arms 142 at locations opposite the notches of the push arms, they heat the push arms so that they bend in at their notches and extend out in the Y direction away from positionable support structure 140. As a result, the push arms engage moveable support structure rail clamping bars 144 and push these clamping bars away from the moveable support structure rails so that the clamping bars are disengaged from the moveable support structure rails. Thus, the positionable support structure is unclamped and uncoupled from (i.e., released from being clamped to) the moveable support structure rails.

Similarly, push arms 152 remain in their normal positions when positionable support structure 140 is clamped to moveable support structure rails 120 and no or a suitably small differential voltage is applied across them. This is due to the fact that heater elements 162 are not activated in this case since no current flows through interconnect 158, heater elements 162, and interconnect 156. However, when a suitably large differential voltage is applied across the moveable support structure rails, current does flow through interconnect 158, heater elements 162, and interconnect 156. Since heater elements 162 are located on the moveable support structure clamping rail push arms at the notches of these push arms, they heat these push arms so that they bend out at their notches and extend out in the Y direction away from positionable support structure 140. As a result, they engage stationary support structure rail clamping bars 150

and push these clamping bars away from the stationary support structure rails so that these clamping bars are disengaged from the stationary support structure rails. Thus, the positionable support structure is unclamped and uncoupled from the stationary support structure rails.

Referring back to Figure 1, controller 102 is electrically coupled to the moveable and stationary support structure rails 120 and 140 to provide appropriate differential voltages across the moveable support structure rails and across the stationary support structure rails so as to produce the clamping and unclamping functions of the moveable and stationary support structure rail clamps just described. In other words, by controlling the level of the differential voltage, the controller can control the clamping and unclamping of the positionable support structure to and from the moveable and stationary support structure rails.

Controller 112, the electrostatic comb, heater, and piezoelectric drives described earlier, the moveable support structure, the stationary support structure, and the moveable and stationary support structure rail clamps just described work cooperatively together to provide a means to position positionable support structure 140 and the object it carries at the nanometer level in the X direction over a range of positioning that is greater than the range of movement of the moveable support structure. To do this, the controller initially applies a suitably large differential voltage across moveable support structure rails 120 to unclamp the positionable support structure from stationary support structure rails 114 and no or a suitably small differential voltage across stationary support structure rails 120 to keep the positionable support structure clamped to the moveable support structure rails. Then, the controller applies a suitable differential voltage across stationary and moveable comb structures 128 and 130 to move the moveable support structure in the X direction. Since the positionable support structure is clamped to the moveable support structure rails, the positionable support structure and the object it carries are both carried by the moveable support structure. As alluded to earlier, this may be done in nanometer level increments for positioning of the positionable support structure and the object it carries at the nanometer level.

Then, when the maximum distance (i.e., range of movement) of the moveable comb structure in the X direction has been reached, controller 112 applies no or a suitably small differential voltage across moveable support structure rails 120 to clamp positionable support structure 140 to the stationary support structure rails and a suitably large differential voltage across stationary support structure rails to unclamp the positionable support structure from the moveable support structure rails. The controller then applies a suitable differential voltage across stationary and moveable comb structures 128 and 130 to reposition or retract the moveable support structure in the X direction so that it can again move the maximum distance in the X direction. The process just described is then repeated until the positionable support structure and the object it carries have been positioned at the desired point in the X direction. Thus, the positionable support structure and the object it carries can be positioned anywhere along the length of the rail portions of the stationary support structure rails. Since the rail portions of the stationary support structure rails may have lengths in the millimeter range, the range of positioning

of the positionable support structure and the object it carries will in this case be at the millimeter level or scale and will be greater than the range of movement of the moveable support structure.

Furthermore, as alluded to earlier and shown in Figure 1, positioning system 100 also includes a Y translator assembly 106. The Y translator assembly may be comprised of a  
5 semiconductor material, such as silicon, and includes a stationary support structure 164, a moveable support structure 166, a pair of pedestals 168, and a pair of spring connectors 170. These components respectively correspond to stationary support structure base 112, moveable support structure base 118, pedestals 108, and spring connectors 124 of X translator assembly 104 and are constructed and operate similarly.

10 Additionally, Y translator assembly 106 also includes an electrostatic comb drive comprising a stationary comb structure 172 and a moveable comb structure 174. The stationary and moveable comb structures respectively correspond to stationary comb structure 128 and moveable comb structure 130 of X translator assembly 104 and are constructed and operate similarly. Controller 102 is coupled to the electrostatic comb drive of the Y translator assembly in  
15 the same manner as it is coupled to the electrostatic comb drive of the X translator assembly. As a result, it can control positioning of moveable support structure 166 and the object it carries in the Y direction in a similar manner as was described earlier for the moveable support structure of the X direction movement assembly of Figure 1.

In alternative embodiments, the electrostatic comb drive may be replaced by a heater  
20 drive or a piezoelectric drive. These heater and piezoelectric drives would operate and be constructed similarly to the heater drive and piezoelectric drives of Figures 2 and 3 and be controlled by controller 102 in the same way as was described earlier.

In another alternative embodiment, Y translator assembly 106 could be mounted to or integrally connected to positionable support structure 140 of X translator assembly 104. In this  
25 case, in positioning two objects relative to each other, one of the objects would be kept stationary and the other object would be carried by moveable support structure 166 of the Y translator assembly. Furthermore, in still another alternative embodiment, Y translator assembly 106 would be replaced by another Y translator assembly that is constructed similar to X translator assembly 104.

30

#### Mechanical Write/Electrical Read Embodiment

Referring to Figure 9, there is shown a data storage device 200 that includes the XY translator apparatus and controller 102 of positioning system 100 described earlier. In addition, it includes a storage medium 202 and a read/write mechanism comprising one or more write  
35 probes 204 and one or more read probes 206. Controller 102 is used in the data storage device not only to control the XY translator apparatus in positioning the read and write probes and the storage medium with respect to each other in the X and Y directions, but also in controlling

mechanical writing of data to and electrical reading of data from the storage medium by the write and read probes.

Storage medium 202 is carried by positionable support structure 140 of X translator assembly 104. Write and read probes 204 and 206 are carried by moveable support structure 166 of Y translator assembly 106. Alternatively, the storage medium may be carried by the moveable support structure of the Y translator assembly and the write and read probes may be carried by the positionable support structure of the X translator assembly. Moreover, the storage medium and the write and read probes may be positioned with respect to each other with any of the alternative embodiments described earlier for positioning device 100 or with a standard piezoelectric XY translator apparatus.

To write up to 33 data bits or data values at a time to storage medium 202 during a write mode or cycle, write probes 204 can be arranged in three rows of eleven, as shown in Figure 9. As shown in Figure 10, each write probe includes a tapered write tip 210 and a Z translator or write tip positioning apparatus for positioning the write tip with respect to the storage medium in the Z direction.

The Z translator apparatus comprises a cantilever 208 and a cantilever mover. The cantilever mover is a capacitor formed by moveable support structure 166, an insulating layer or pad 212, and a conductive layer or pad 214. The cantilever is integrally connected to the moveable support structure and the write tip is integrally connected to and on the cantilever.

Each write probe 204 has a core material 216 that comprises a conductive or semiconductive material, such as silicon. The core material of each write tip 210 is preferably coated with a highly obdurate coating 218, such as diamond, silicon carbide, or carbon nitride, which is capable of deforming storage medium 202 and is more obdurate than conductive silicon, tungsten, aluminum, or gold used in conventional STM tips. This is to reduce frictional wear from long term use in deforming the storage medium. The obdurate coating may have a thickness in the range of approximately 5 Angstroms to 1 micrometer.

In the case where obdurate coating 218 comprises diamond, write probes 204 are first placed in a vacuum chamber containing carbon. A mask is placed over each probe so that only tip 210 is exposed. At a pressure of approximately  $1 \times 10^{-7}$  to  $1 \times 10^{-11}$ , the carbon is heated to a temperature of approximately 2100 to 3000 °C. The carbon condenses on the surface of core material 216 to form seed sights. Alternatively, the seed sights may be formed by pushing or rubbing each write tip 210 on a surface containing fine grain diamond (such as a lap or polycrystalline diamond coated surface). Referring to Figure 11, write probes 204 are then placed in a methane hydrogen atmosphere for chemical vapor deposition (CVD) of diamond on the surface of the core material. As a result of the seed sights, a polycrystalline diamond coating 212 is grown on the core material with the diamond crystals being grown normal to the surface of the core material. Growth of diamond crystals is further described in Deposition, Characterization, and Device Development in Diamond, Silicon Carbide, and Gallium Nitride Thin Films, by Robert F.

Davis, Journal of Vacuum Science and Technology, volume A 11(4) (July/August 1993), which is hereby explicitly incorporated by reference.

Moreover, during the deposition process, a bias voltage may be applied to the core material. This voltage should be sufficient to create an electrical field at the sharp end of the write tip large enough so that the diamond crystals grown at the sharp end of the write tip are symmetrically aligned but small enough so that the diamond crystals grown below the sharp end of the write tip are not symmetrically aligned. The advantage of this is to obtain a consistent orientation and tip behavior at the sharp end without sacrificing the durability and stability of the diamond coating below the sharp end.

Moreover, in the case where the obdurate coating 218 comprises silicon carbide, the coating may be grown in the manner described in Deposition, Characterization, and Device Development in Diamond, Silicon Carbide, and Gallium Nitride Thin Films just referenced.

And, when the obdurate coating 218 comprises carbon nitride, the same seeding processes as was just described for diamond growth may be used. Then, write probes 204 are placed in an atmosphere of monatomic nitrogen. The monatomic nitrogen is obtained by passing nitrogen gas through a hollow tungsten heater consisting of a hollow tungsten structure through which an electric current is passed. The tungsten heater is maintained at a temperature of 2100 to 3000 °C. In one embodiment, the tungsten heater also includes a quantity of carbon sufficient to combine chemically to form a carbon nitride layer on the carbon seed sites at the cool surface (800 °C) of core material 216. In another embodiment, the process begins without introducing nitrogen gas. After a few atoms of carbon are deposited, the nitrogen gas is introduced into the tungsten electrode and deposition and growth of the polycrystalline carbon nitride coating is initiated.

The types of probes just described are even further described in copending U.S. Patent Application No. 08/281,883, entitled "Scanning probe Microscope Assembly and Method for making Spectrophotometric, Near-Field, and Scanning Probe Measurements", by Victor B. Kley, which is hereby explicitly incorporated by reference.

As alluded to earlier, each write probe 204 includes a Z translator apparatus comprising cantilever 208 and a capacitor formed by moveable support structure 166, insulating layer 212, and conductive layer 214. The moveable support structure is made to be conductive or semiconductive. In addition, the insulating layer may comprise silicon dioxide and the conductive layer may comprise tungsten. Controller 102 is electrically coupled to the moveable support structure and the conductive layer. By applying a suitably large voltage across them, the controller can control enough energy storage by the capacitor of the Z translator apparatus so as to electrostatically move cantilever 208 from its normal undeflected position to a deflected position and raise write tip 210 in the Z direction away from storage medium 202. By applying no or a suitably small voltage across the moveable support structure and the conductive layer, the controller can control release of energy storage by the capacitor of the Z translator apparatus so



as to move cantilever 208 from its deflected position towards its normal undeflected position and lower write tip 210 in the Z direction toward the storage medium.

Referring to Figure 11, in an alternative embodiment, the Z translator apparatus of each write probe 204 may comprise, in addition to cantilever 208, a heater element 220 as the cantilever mover instead of the capacitor of the positioning apparatus of Figure 10. The heater element is located on the cantilever at the notch formed between the cantilever and moveable support structure 166. Controller 102 is electrically coupled to the moveable support structure and the heater element. By applying a suitably large voltage across them, the controller can produce a current through the heater element to thermally expand the cantilever at the notch so as to move it from its normal undeflected position to a deflected position and lower write tip 210 in the Z direction toward storage medium 202. And, by applying no or a suitably small voltage across moveable support structure and the heater element, the controller produces no current through the heater element and the cantilever thermally contracts at the notch and returns from its deflected position to its normal undeflected position so as to raise write tip in the Z direction away from the storage medium.

Additionally, in still another embodiment, the Z translator apparatus of each write probe 204 may be a conventional piezoelectric translator. In this case, write tip 210 of each write probe is connected to the piezoelectric translator and controller 102 is coupled to the piezoelectric translator to expand and contract it so as to lower or raise the write tip in the Z direction.

Referring back to Figure 9, storage medium 202 comprises a deformable conductive material which is capable of being deformed by the obdurate coatings of write tips 210. This material may comprise gold, silicon, carbon, aluminum, silver, or tin.

Furthermore, still referring to Figure 9, in a write mode, controller 102 first controls the XY translator apparatus in positioning the write probes over an area or region of storage medium 202 to be written. Since controller 102 is separately electrically coupled to the Z translator apparatus of each write probe 204 in the manner described earlier, it can selectively or individually control the lowering of each write tip 210 in the Z direction to write individual data bits or data values to storage medium 202 during the write mode. Specifically, during the write mode, each write tip may be selectively and individually lowered a selected predetermined amount into the storage medium in the manner just described to cause a selected predetermined amount of deformation or indentation in the storage medium which represents digital or analog data. In an embodiment for writing binary bits of digital data with each write tip, a data bit of value "1" and a data bit of value "0" are represented by two different predetermined amounts of deformation of the storage medium. Thus, for example, a data bit of value "0" may be represented by no deformation and a data bit of value "1" may be represented by a specific amount of deformation. However, in an embodiment for writing a larger range of digital data values or analog data values with each write tip, a range of discrete predetermined amounts of deformation would represent a range of digital data values and a continuous range of predetermined amounts of deformation would represent a range of

analog data values. Thus, for example, in either case the range of predetermined amounts of deformation may range from no deformation representing a minimum data value to a maximum amount of deformation representing a maximum data value.

The write operation just described is similarly described in U.S. Patent No. 5,038,322 referred to earlier and hereby explicitly incorporated by reference. Moreover, since in the embodiment of Figure 9 there are 33 write probes 204, up to 33 data bits or data values at a time may be written to storage medium 202 during a write mode in this manner.

In order that the data written to storage medium 202 may be properly read, a pattern of tracks at regularly spaced intervals are formed on the storage medium. These tracks may be created using conventional photolithography during the microfabrication process. Alternatively, they may be a series of deformations created in the storage medium with write tips 210 in the manner described earlier. These tracks may be read out as data bits or data values along with the actual data bits or data values written to storage medium in the manner described next.

Referring to Figure 9, to read up to 33 data bits or data values at a time from storage medium 202 during a read mode, read probes 206 may be arranged in three rows of eleven. And, referring to Figure 12, each read probe includes a tapered read tip 222 and a Z translator or read tip positioning apparatus for positioning the read tip in the Z direction.

The Z translator apparatus is constructed and operates like the Z translator apparatus of each write probe and therefore comprises a cantilever 208 and a capacitor formed by moveable support structure 166, an insulating layer 212, and a conductive layer 214. The cantilever is integrally connected to the moveable support structure and the read tip is integrally connected to and on the cantilever. Alternatively, the Z translator apparatus of each read probe 206 may comprise one of the apparatuses discussed earlier as alternative embodiments to the Z translator apparatus of each write probe 204. Thus, each read tip may be selectively and individually lowered toward or raised away from the storage medium in the Z direction in a similar manner to that described earlier for each write tip 210.

Referring to Figure 12, like each write probe 204, each read probe 206 has a core material 216 that comprises a conductive or semiconductive material, such as silicon. The core material of each read tip 222 is coated with an insulating coating 226, such as silicon dioxide, except at the sharp end of the read tip. The insulating coating and the core material at the sharp end of the tip are coated with a conductive coating 228, such as aluminum, gold, tungsten, or some other conductive material. To operate each read tip as an STM tip, controller 102 is electrically coupled to the conductive coating of the read tip.

Referring to Figure 9, in a read mode, controller 102 first controls the XY translator apparatus in positioning the read probes over an area or region of storage medium 202 to be read. Since controller 102 is separately electrically coupled to the Z translator apparatus of each read probe 206, it can selectively and individually control the lowering of each read tip 222 in the Z direction close to the storage medium for reading data from the storage medium during the read

mode. Moreover, since the controller is electrically coupled to storage medium 202 and separately coupled to conductive coating 228 of each read tip, it can selectively and individually produce and measure a tunneling current between the conductive coating of each read tip and the storage medium during the read mode. From the measured tunneling current, the controller determines  
5 the amount of deformation of the storage medium below the read tip so as to read a data bit or data value from the storage medium which was written during a previous write mode.

Furthermore, the read operation just described is similarly described in U.S. Patent No. 5,038,322 referred to earlier and in U.S. Patent Nos. 5,289,408 and 5,317,533 also referred to earlier and hereby explicitly incorporated by reference. Furthermore, since there are 33 read  
10 probes 206 in the embodiment of Figure 9, up to 33 data bits or data values at a time may be read from storage medium 202 during a read mode in this manner.

In the embodiment of Figure 9, each row of write and read probes 204 and 206 are spaced about 30 micrometers apart and the write and read probes in each row are also spaced about 30 micrometers apart. This is done to match the ranges of movement of the moveable  
15 support structures of X and Y translator assemblies 104 and 106 so as to maximize the amount of data that can be written to and read from storage medium 202 at nanometer level positioning increments over these ranges of movement.

Additionally, to enable data bits or data values written to storage medium to be erased,  
20 the deformable material of the storage medium 202 is capable of being heated to or near its melting point. As a result, in the area where the storage medium is being heated, it will be restored to its normal state and any deformations there representing data bits or data values will be removed.

In an erase mode, controller 102 controls the XY translator apparatus in positioning the  
25 read probes over an area or region of storage medium 202 to be erased. As indicated earlier, controller 102 is separately electrically coupled to the Z translator apparatus of each read probe 206 and can selectively and individually control the lowering of each read tip 222 in the Z direction close to the storage medium for erasing of data from the storage medium during the erase mode. Additionally, referring to Figure 12, to also enable the erasing of data written to the storage  
30 medium, the controller is electrically coupled to core material 216 of each read probe 206 in that moveable support structure 166 and read probe 206 are integrally connected and comprise a conductive or semiconductive material.

Since the controller is separately electrically coupled to the conductive coating of each read tip, as discussed earlier, and is coupled to the core material 216 of each read tip, it can  
35 selectively and individually apply a voltage across the conductive coating and core material of each read tip during the erase mode. At the sharp end of each read tip 222, the conductive coating is in contact with the core material and a current is produced between them when the applied voltage across them reaches the forward bias point of the junction diode they form. Since

the read tip has been lowered close to the storage medium during the erase mode, the heat generated by this flow of current radiates down toward storage medium 202 to heat the area of the storage medium below the read tip. This restores the storage medium in this area to its natural state and removes any deformation there so that a data bit or data value written to the storage medium during a previous write mode and represented by the deformation can be selectively and individually erased by the controller. Since there are 33 read probes 206 in the embodiment of Figure 9, up to 33 data bits or data values at a time may be erased from storage medium 202 during an erase mode in the manner just described.

In an alternative embodiment, each read probe 206 would not have its own Z translator apparatus. Instead, each read probe would be connected to a large single Z translator apparatus which would be controlled by controller 102 to lower read tips 222 simultaneously together to perform in bulk the read and erase functions described earlier.

Turning to Figure 13, data bits or data values written to storage medium 202 may be erased in another way. In this embodiment, the storage medium comprises a layer of a deformable material 229, as described earlier, and a heater structure comprising a first insulating layer 230, one or more patterned conductive heater elements 232 over the first insulating layer, and a second insulating layer 234 over the first insulating layer and heater elements and below the deformable material.

Figure 14 shows the patterned layout of heater elements 232. Controller 102 is separately electrically coupled across each heater element to selectively and individually apply across the heater element a voltage to heat the area (i.e., region) of storage medium 202 above the heater element. In doing so, controller 102 can selectively remove deformations in particular areas of the storage medium in a similar manner to that just described and therefore selectively erase data bits or data values written to these areas.

Turning again to Figure 12, in an alternative embodiment, conductive coating 228 comprises an obdurate material, such as diamond, silicon carbide, or silicon nitride, made to be conductive using conventional doping techniques. For example, these materials may be doped with boron to make them conductive. In this embodiment, probes 206 could then be used not only to read data from storage medium 202 in the manner described earlier, but also write data to storage medium 202 in the manner described for write probes 204 of Figure 10. Thus, only one kind of probe could be used in this embodiment to perform reading and writing of data to and from the storage medium.

Still referring to Figure 12, in still another embodiment, the core material of read tips 222 would be conductive so that these tips would not require conductive coating 228 and insulating coating 226. In this case, the core material may comprise doped silicon, tungsten, aluminum, gold, or some other conductive material.

#### Optical Write/Electrical Read Embodiment

Referring to Figures 15 and 16, in another embodiment of data storage device 200, storage medium 202 comprises optically alterable charge storage cells, regions, or areas of the type used in UV erasable programmable read only memories (UVPROMs). However, in this case, these charge storage cells do not have individual read/write lines. To provide the charge storage cells, the storage medium comprises a silicon substrate 236 in which are formed electrically isolated, spaced apart, and conductively doped wells 238 capable of storing a charge. Controller 102 is electrically coupled to the substrate so that it is electrically coupled to each doped well that forms the charge storage cells.

Moreover, referring to Figure 9, write probes 204 of the read/write mechanism are constructed to optically write data to the charge storage cells of storage medium 202 while read probes 206 are constructed to electrically read the data optically written to the charge storage cells. Otherwise, the data storage device in this embodiment is constructed and operates the same as the one of the mechanical write/electrical read embodiment discussed earlier.

Figure 17 shows the construction of each write probe 204 of this embodiment. Like the write probes of the embodiment of Figure 10, each write probe has a conductive or semiconductive core material 216, such as silicon. The core material of each write tip 242 is coated with an emissive coating 244 at a thickness of approximately 10 to 200 nanometers. This emissive coating may comprise gallium nitride, gallium arsenide, or silicon carbide all suitably doped to be emissive. A conductive coating 246, such as aluminum, gold, tungsten, indium tin oxide, or some other conductive material, is over the emissive coating and has a thickness of approximately 20 to 200 nanometers. About 5 to 10 nanometers of the conductive coating at the sharp end may be made sufficiently thin so that it is transparent to blue and/or UV light or about 5 to 10 nanometers of the conductive coating can be removed or rubbed off from the sharp end of the write tip. This forms an aperture at the sharp end of the tip with a diameter in the range of approximately 5 to 100 nanometers. With a voltage of about 4 volts applied across the conductive coating and core material, blue (e.g., 423 nanometer wavelength) and/or ultraviolet (UV) light (e.g., 372 nanometer wavelength) is emitted by emissive coating 244 as described in Deposition, Characterization, and Device Development in Diamond, Silicon Carbide, and Gallium Nitride Thin Films referenced earlier. The light propagates through the write tip until it is emitted at its sharp end at the aperture which has a diameter substantially smaller than the wavelength of the light. This type of probe is even further described in the copending U.S. Patent Application No. 08/281,883 referenced earlier.

In an alternative embodiment shown in Figure 18, each write probe 204 is comprised of a silicon core material 216. The silicon core material at the sharp end of each write tip 248 is porous. This is accomplished by immersing the write probe in a dilute solution of Hydrofluoric acid or a dilute solution Hydrofluoric and Nitric acid and operating the silicon write probe as an anode. In addition, a gold or platinum cathode is also immersed in the solution. A current is then

produced between the anode and cathode which is sufficient to porously etch the sharp end of the write tip (and other sharp edges of the write probe) but leave the remainder of the write probe unetched. The silicon core material of each write tip is coated with an insulating coating 250, such as silicon dioxide, except at the sharp end of the read tip. The insulating coating and the porous

5 core material at the sharp end of the tip are coated with a conductive coating 252, such as aluminum, gold, tungsten, indium tin oxide, or some other conductive material. To form an aperture at the sharp end of the tip, about 5 to 10 nanometers of the conductive coating at the sharp end may be made sufficiently thin so that it is transparent to light or about 5 to 10 nanometers of the conductive coating can be removed or rubbed off from the sharp end of the write tip.

10 Controller 102 is electrically coupled to core material 216 of each write probe 248 in that moveable support structure 166 and write probe 248 are integrally connected and comprise silicon. Moreover, the controller is separately electrically coupled to conductive coating 252 of each write tip 248. Thus, the controller can selectively and individually apply a voltage across the conductive coating and core material of each read tip. Since at the sharp end of each write tip the

15 conductive coating is in contact with the porous core material, a current can be produced between them when the voltage is applied which causes the porous core material at the sharp end to emit light through the aperture of the write tip.

Alternatively, write tip 248 may be uncoated. In this embodiment, controller 102 may be electrically coupled across core material 216 of each write tip and substrate 230 of storage

20 medium 202. By selectively and individually applying a voltage across them, a current will be produced between the charge storage cell close to the write tip and the write tip which causes the porous core material at the sharp end of the write tip to emit light.

Light emission by porous silicon is further described in An Improved Fabrication Technique for Porous Silicon, Review of Scientific Instruments, v64, n2 507-509 (1993),

25 Photoluminescence Properties of Porous Silicon Prepared by Electrochemical Etching of Si Epitaxial Layer, Act. Physica Polonica A, v89, n4, 713-716 (1993), Effects of Electrochemical Treatments on the Photoluminescence from Porous Silicon, Journal of the Electrochemical Society, v139, n9, L86-L88 (1992), Influence of the Formation Conditions on the Microstructure of Porous Silicon Layers studied by Spectroscopic Ellipsometry, Thin Solid Films, v255, n1-2; 5-8

30 (1995), and Formation Mechanism of Porous Si Layers Obtained by Anodization of Mono-Crystalline N-type Si in HF Solution and Photovoltaic Response in Electrochemically Prepared Porous Si, Solar Energy Materials and Solar Cells, v26, n4, 277-283. which are hereby explicitly incorporated by reference.

Furthermore, referring to Figure 9, in a write mode, controller 102 first controls the XY

35 translator apparatus in positioning write probes 204 over charge storage cells to be written. As discussed earlier, controller 102 is separately electrically coupled to the Z translator apparatus of each write probe 204 and can selectively control the lowering of each write tip 242 in the Z direction to write data to a charge cell during the write mode. Moreover, as shown in Figures 17

and 18, controller 102 is separately electrically coupled to each write probe to make it emit light. Thus, during a write mode, the controller can selectively and individually control each write tip to write a data bit or data value to a charge storage cell by emitting a selected predetermined amount of light close to a charge cell in the manner just described to cause a selected predetermined amount of charge in the charge storage cell to be optically leaked off, altered, or changed so that the charge storage cell stores a selected predetermined amount of charge representing the data bit or data value.

Specifically, in an embodiment for writing binary bits of digital data with each write tip, a data bit of value "1" and a data bit of value "0" are represented by two different predetermined amounts of charge in a charge cell. Thus, for example, a data bit of value "0" may be represented by a specific charge amount that has been optically changed and a data bit of value "1" may be represented by a specific charge amount that has not been optically changed. However, in an embodiment for writing a larger range of digital data values with each write tip, a range of predetermined charge amounts represent a range of digital data values. Thus, for example, the range of predetermined charge amounts may range from no charge representing a minimum data value to a maximum amount of charge representing a maximum data value. Since there are 33 write probes, up to 33 data bits or data values can be written to up to 33 charge storage cells during a write mode in the manner just described.

Referring to figure 12, read probes 206 in this embodiment may be constructed in the same way as those of the mechanical write/electrical read embodiment described earlier. Thus, in a read mode, controller 102 controls the XY translator apparatus in positioning the read probes over charge storage cells to be read. And, as described earlier, controller 102 is separately electrically coupled to the Z translator apparatus of each read probe 206 and can individually and selectively control the lowering of each read tip 222 in the Z direction to detect with the conductive coating of the read tip a charge in a charge storage cell of storage medium 202. Moreover, since the controller is also separately coupled to conductive coating 228 of each read tip, it can individually and selectively measure the amount of the detected charge so as to read a data bit or data value from the charge storage cell which was written during a previous write mode. In other words, the read tip is used to detect the predetermined amount of alteration of the charge storage cell caused during a write mode and the controller measures the detected amount to read the data bit or data value written during the write mode. Since there are 33 read probes, up to 33 data bits or data values at a time during a read mode can be read in this manner from up to 33 charge storage cells.

Furthermore, referring to Figures 15 and 16, as indicated previously the charge storage cells are of the type found in UVPROMs. However, read/write lines are eliminated such that the charge storage cells may be made much smaller and spaced much closer than in conventional UVPROMs. As a result, in this embodiment, the size of the charge storage cells may be on the nanometer level and the charge storage cells may be spaced apart at nanometer level increments.

This is so that data can be written to and read from storage medium 202 at nanometer level increments of positioning using X and Y translator assemblies 104 and 106 of Figures 1 and 9 in the manner described earlier.

Additionally, the typical standard energy from common UV sources used to erase UVPROMs is on the order of  $10^{-9}$  watts per micrometer. However, light emitting tips 242 and 248 described herein will easily produce UV energy at a near-field intensity of  $10^7$  to  $10^8$  times more intense which results in write times on the order of 1 to 10 microseconds.

Furthermore, during an erase mode, controller 102 controls the XY translator apparatus in positioning read probes 206 over charge storage cells to be erased. Since controller 102 is separately electrically coupled to the Z translator apparatus of each read probe 206, it can individually and selectively control the lowering of each read tip 222 in the Z direction close to storage medium 202 for erasing of data from a charge storage cell during the erase mode. Moreover, referring to Figures 12 and 16, as discussed earlier, the controller is separately electrically coupled to conductive coating 228 of each read tip and is electrically coupled to substrate 236 of the storage medium. Thus, it can individually and selectively apply a selected predetermined voltage across the conductive coating of each tip and the charge storage cell under the tip during the erase mode. Since the read tip is lowered close to the charge storage cell during the erase mode, this results in a selected predetermined amount of tunneling current being produced between the conductive coating and the charge storage cell so that a selected predetermined amount of charge is injected or transferred into the charge storage cell. Thus, the charge in the charge storage cell is restored to this predetermined amount so that it can be changed in a subsequent write mode when again writing a data bit or data value to the charge storage cell. Since there are 33 read probes 204, up to 33 data bits or data values may be erased at a time during an erase mode from up to 33 charge storage cells in the manner just described.

Referring to Figures 15 and 16, data bits or data values written to the charge storage cells of storage medium 202 may also be erased in another way. Specifically, the storage medium also includes an insulating layer 254 around doped wells 238. Over the insulating layer are one or more patterned conductors 256 around one or more corresponding areas or regions of the doped wells.

Controller 102 is separately electrically coupled across each conductor and the silicon substrate to selectively and individually apply across them a predetermined voltage. This produces a selected predetermined amount of tunneling current between the conductor and the charge storage cells in the corresponding selected region and injects a selected predetermined amount of charge into these charge storage cells. As a result, any data bits or data values written to these charge storage cells during a previous write mode are erased in a similar manner to that just described.



In alternative embodiments, the storage medium may comprise other types of materials or structures which can be optically altered at discrete increments, regions, or intervals by light emissions from the types of write probes 204 discussed next.

5 In an additional alternative embodiment, each write and read probe 204 and 206 would not have its own Z translator apparatus. Instead, each write probe would be connected to a large single Z translator apparatus which would be controlled by controller 102 to lower write tips 242 or 248 simultaneously together to perform in bulk the write function described earlier. Moreover, each read probe would also be connected to a large single Z translator apparatus which would be controlled by controller 102 to lower read tips 222 simultaneously together to perform in bulk the  
10 read and erase functions described earlier.

Referring to Figures 9 and 18, in still another alternative embodiment, instead of being used as a data storage device, device 200 could be used as a biochemical instrument. In this case, the biochemical instrument includes one or more probes 204 each having a tip 248 with a porous sharp end, as described earlier, but without insulating and conductive coatings 250 and  
15 252. Specifically, by controlling the etch current and etch time of the process described above, the pore width and depth of a region of several angstroms in length at the sharp end of the tip can be controlled. As a result, binding sites of a specific size for selected molecules can be made in the tip at the sharp end so that controller 102 could control the lowering and raising of the tip, in the manner described earlier, into and from a biochemical substance to biochemically interact with  
20 it.

For example, a tip of this embodiment which holds specific types of molecules in its binding sites could be lowered into and out of an assay for viruses or other bioactive chemicals or biostructures to deposit them into or remove them from the assay. Similarly, a tip that holds in its binding sites the molecules of a catalytic chemical may be lowered into a substance to produce  
25 a catalytic reaction in the substance. Or, the tip may be lowered into and raised from a biochemical substance, such as a cell, to attract and pick up specific molecules at the binding sites of the tip. Additionally, the binding sites may hold the molecules of a chemically active material so that when the tip is lowered into an unknown sample of organic or inorganic material, the binding energy or attractive force between the molecules of the chemically active and sample  
30 materials can be measured by the deflection of cantilever 208 to characterize the sample material. In this case, the deflection of the cantilever would be determined by the controller by measuring changes in the energy storage of the capacitor described earlier (formed by the moveable support structure 166, insulating layer 212, and conductive layer 214) or with a laser and photodetector assembly like in a conventional AFM and described further in the copending U.S. Patent  
35 Application No. 08/281,883 referenced earlier.

#### Electrical Write/Read Embodiment

Referring to Figures 15 and 16, in another embodiment of data storage device 200, storage medium 202 comprises charge storage cells, regions, or areas similar to the UVPROM type charge storage cells of the optical write/electrical read embodiment described earlier and of the type used in electronically erasable programmable read only memories (EEPROMs).

5 However, like the UVPROM type charge storage cells, they do not have read/write lines and are constructed similar to the UVPROM type storage cells.

Referring to Figure 12, in this embodiment, data storage device uses only probes 206 of the type described in the mechanical write/electrical read embodiment. These probes are used to electrically read and erase data from the charge storage cells in a similar manner to that  
10 discussed earlier. Moreover, they are also used to electrically write data to the charge storage cells which is done in a similar fashion to the way in which data is erased from the charge storage cells. However, in this case, a predetermined amount of charge of opposite polarity to the charge injected during an erase mode is injected into a charge storage cell to change the charge stored by the charge storage cell and write to it a data bit or data value. In other words, In other words,  
15 the charge storage cell is electrically altered by a predetermined amount to write data to it. Otherwise, this write operation is the same as the erase operation discussed earlier and is further described in U.S. Patent Nos. 5,289,408 and 5,317,533.

Furthermore, like the UVPROM type storage cells discussed earlier, the size of the EEPROM type charge storage cells may be at the nanometer level and they may be spaced apart  
20 at nanometer level increments since they do not require address lines and read/write lines. Thus, in this embodiment as well, data can be written to and read from storage medium 202 at nanometer level increments of positioning using X and Y translator assemblies 104 and 106 of Figures 1 and 9 in the manner described earlier.

In alternative embodiments, the storage medium may comprise other types of materials  
25 or structures which can be electrically altered at discrete increments, regions, or intervals by tunneling currents from the types of probes 206 discussed next. These types of materials or structures may include magnetic materials or the types of materials and structures as described in U.S. Patent Nos. 5,289,408 and 5,317,533 referred to earlier.

### 30 Acoustically Aided Electrical Write/Read Embodiment

Referring to Figures 15 and 16, in another embodiment of data storage device 200, storage medium 202 also comprises the EEPROM type charge storage cells described earlier for the electrical write/read embodiment. Furthermore, referring to Figures 19 and 20, in this embodiment, the write and read probes 204 and 206 described earlier are replaced by a write/read  
35 mechanism that operates similarly to the probes 206 of the electrical write/read embodiment but is acoustically aided. The acoustically aided electrical write/read mechanism comprises a ridge support structure 254, one or more parallel triangular ridges 256 integrally connected to the base support structure, and an acoustic wave generator on the ridge support structure comprising two

interleaved piezoelectric transducers or actuators 258. The storage medium and acoustically aided electrical write/read mechanism can be positioned with respect to each other in the ways described earlier.

5        Triangular ridges 256 extend down from the flat lower surface of ridge support structure 254. The triangular ridges are constructed similarly to tips 222 of Figure 12 in that each has a conductive or semiconductive core material, such as silicon, integrally connected to the ridge support structure, an insulating coating over the core material except at the sharp end of the ridge, and a conductive coating over the insulating coating and the core material at the sharp end. Moreover, controller 102 is also separately electrically coupled to the conductive coating of each  
10    of the triangular ridges.

Referring back to Figures 19 and 20, piezoelectric transducers 258 of the acoustic wave generator are positioned on the flat upper surface of ridge support structure 254 so as to generate surface acoustic waves 255 that propagate on the upper surface in the X direction and parallel to the axial length of the triangular ridges in the Y direction. Controller 102 is electrically coupled to  
15    the piezoelectric transducers to generate a surface acoustic wave during each write, read, and erase mode.

During a write mode, controller 102 first controls the XY translator apparatus in positioning triangular ridges 258 over corresponding charge storage cells to be written. Then, the controller controls the acoustic wave generator in generating an acoustic wave that propagates on the  
20    surface of the ridge support structure parallel to the axial lengths of the triangular ridges. To write a data bit or data value to a particular charge storage cell under each triangular ridge, controller 102 selectively and individually applies a write voltage pulse of a selected predetermined voltage across the conductive coating of the triangular ridge and the substrate of storage medium 202 at a selected predetermined time and for a selected predetermined time interval or duration during  
25    the propagation of the acoustic wave. The predetermined time corresponds to the location of the charge storage cell because at this predetermined time the portion of the ridge support structure over the charge storage cell is displaced by the propagating surface acoustic wave down toward the charge storage cell so that the portion of the triangular ridge connected to this portion of the ridge support structure is also displaced down toward the charge storage cell. As a result, the  
30    predetermined voltage of the write voltage pulse over the predetermined time interval produces a selected predetermined amount of tunneling current between the conductive coating of the triangular ridge and the charge storage cell. Thus, a charge of a selected predetermined amount is injected into the charge storage cell so that a data bit or data value is written to it in a similar manner to that described earlier in the electrical write/read embodiment. In other words, the  
35    charge storage cell is electrically altered by a predetermined amount.

For example, the speed of a surface acoustic wave in ridge support structure 254 may be about 1000 meters/sec (typical for semiconductive materials). Thus, if the storage medium includes 1000 charge storage cells under a triangular ridge over a 1 millimeter distance along the

propagation direction of an acoustic wave, then the acoustic wave would traverse each charge storage cell in 1 nanosecond. In order to write a data bit or data value to the 500th charge storage cell under a particular triangular ridge, a write voltage pulse would be applied across the conductive coating of the triangular ridge and the substrate of the storage medium for a 1 nanosecond time interval 500 nanoseconds after the wave front of the acoustic wave first begins propagating over the triangular ridge. Since there are 8 triangular ridges in the embodiment of Figures 19 and 20, up to 8 data bits or data values can be written at a time during a write mode to up to 8 charge storage cells in the manner just described.

Similarly, in a read mode, controller 102 controls positioning of triangular ridges 258 over corresponding charge storage cells to be read and controls the acoustic wave generator in generating an acoustic wave. Controller 102 then measures the amount of the charge detected by the conductive coating of each triangular ridge at a selected predetermined time and for a selected predetermined time interval during the propagation of the acoustic wave. As in the write mode, the predetermined time corresponds to the location of the charge storage cell so that at this predetermined time the triangular ridge is displaced down toward the charge storage cell in the manner described earlier and the conductive coating of the triangular ridge detects the charge of the charge storage cell. As a result, a data bit or data value is read from the charge storage cell in a similar manner to that described earlier in the optical write/electrical read and electrical write/read embodiments. In other words, the triangular ridge is used to detect the predetermined amount of electrical alteration of the charge storage cell during a write mode and the controller measures the detected amount to read the data bit or data value written during the write mode. Up to 8 data bits or data values can be read at a time during a read mode from up to 8 charge storage cells in the manner just described since there are 8 triangular ridges in the embodiment of Figures 19 and 20.

Additionally, in an erase mode, data bits or data values are erased in a similar fashion to which they are written. However, during the erase mode, a predetermined amount of charge of opposite polarity to the charge injected during an erase mode is injected into a charge storage cell to change the charge stored by the charge storage cell and erase a data bit or data value written during an earlier write mode.

Controller 102 adjusts the timing and duration of the write and erase voltage pulses during write and erase modes and the timing and duration of the charge detection during a read mode to corresponding to changes in temperature. As a result, the position in the storage medium over which a read or write is done always remains constant regardless of temperature change.

Furthermore, bulk erasing may also be performed in the same manner as described earlier in the optical write/electrical read and electrical write/read embodiments.

In an alternative embodiment, the acoustic wave generator may be positioned instead on the upper surface of storage medium 202. As in the embodiment where it is positioned on ridge support structure 254, it would be positioned so that the acoustic waves it generates propagate

in a direction parallel to the axial length of triangular ridges 256. As a result, the charge storage cells would be displaced rather than the triangular ridges in positioning the triangular ridges close to the charge storage cells to write, read, and erase data in the ways described earlier.

5 In other alternative embodiments, the core material of triangular ridges 256 would be conductive so that these tips would not require a conductive coating and an insulating coating. In this case, the core material may comprise doped silicon, tungsten, aluminum, gold, or some other conductive material. Moreover, the storage medium could comprise an electronically alterable material or structure of the type also described in the electrical write/read embodiment.

10 Similar to the read and write probes 204 and 206 of the earlier discussed embodiments, triangular ridges 256 could be spaced about 30 micrometers apart. Referring to Figure 9, this is done to match the range of movement of the moveable support structure of Y translator assembly 106 so as to maximize the amount of data that can be written to and read from storage medium 202 at nanometer level positioning increments over this range of movement.

15 Finally, positioning of storage medium 202 and the acoustically aided electrical write/read mechanism could be alternatively accomplished as shown in Figures 21 and 22. In this case, a Y translator apparatus that comprises a stationary support structure 260, a pair of thermally expandable and contractible structures 262, and heater elements 264 is used to position the triangular ridges over charge storage cells in the Y direction (i.e., orthogonal to the direction of propagation of the surface acoustic waves generated by the acoustic wave generator).

20 In this embodiment, storage medium 202 is fixedly coupled to stationary support structure 260 and ridge support structure 254 has vertical end portions that rest on but are not directly connected to the stationary support structure. Each of the end portions is integrally connected to a corresponding thermally expandable and contractible structure 262. The thermally expandable and contractible structures are both integrally connected to the stationary support structure. Heater elements 264 are located at the elbows of the thermally expandable and contractible structures and are used to selectively heat the thermally expandable and contractible structures so that they thermally expand and contract and move back and forth in the Y direction. Thus, the thermally expandable and contractible structures movably couple the stationary support structure to the ridge support structure in a way similar to that described earlier in which thermally expandable and contractible structure 132 movably couples the stationary support structure and the moveable support structure of the X translator assembly 104 of Figure 2.

25 Furthermore, in this embodiment, to control the heater drive just described, controller 102 is electrically coupled to heater elements 264 and thermally expandable and contractible structures 262 to provide a current that flows through the heater elements. By controlling the amount of current that flows through the heater elements, the controller can control positioning of ridge support structure 254 in nanometer level increments in the Y direction in a similar manner to that described earlier for the embodiment of Figure 1.

Alternatively, the vertical end portions of ridge support structure 254 could be fixedly coupled to stationary support structure 260. In this case, storage medium 202 would be movably coupled to the stationary support structure 260 by thermally expandable and contractible structures like those just discussed and positioning of the storage medium in the Y direction would  
5 be accomplished similarly to that just discussed.

Furthermore, in still other embodiments, piezoelectric transducers, like those discussed for X translator assembly 104 of Figure 3, could be used in place of the thermally expandable and contractible structures and heater elements in the embodiments just discussed. Their movement would be accomplished in a similar way to that discussed for the X translator assembly of Figure  
10 3.

#### Tips with Conductive Highly Obdurate Coatings for use in AFM, STM, and Hardness Testing

Referring to Figure 23, there is shown a conceptual diagram of one embodiment of a  
15 scanning probe microscope assembly 300 using probes 302 with tips 222 having a conductive highly obdurate coating 228 of the type described earlier.

A probe 302 is used to scan the surface of an object 304 in variety of measurement modes, as will be discussed shortly. The surface of the object 304 may be scanned by probe 302 using a conventional piezoelectric XY translator 310 to move the object 304 along the X and Y  
20 axes and a conventional piezoelectric Z translator 312 to move the probe 302 along the Z axis. However, those skilled in the art will appreciate that a piezoelectric XYZ translator may be used instead to move the object 304 along the X, Y, and Z axes while the probe 302 remains stationary. As another alternative, a piezoelectric XYZ translator may be used to move the probe 302 along the X, Y, and Z axes while the object 304 remains stationary. In yet another alternative, the  
25 positioning system 100 of Figures 1-8 may be used to move the probe 302 with respect to the object 304 along the X, Y, and Z axes.

Scanning is controlled by controller or computer 314 based on inputs received from the control terminal 316. During scanning, controller 314 analyzes measurement data and displays measurement information on display monitor 318.

30 Scanning probe microscope assembly 300 is configured to perform atomic force microscopy (AFM). As will be explained later, the AFM mode may occur when the user has selected the AFM mode with the control terminal 316 and also issues with the control terminal 316 a control signal received by the CPU 320 for a scan of the object 304. The scanning control routine 322 stored in the memory 324 and run on the CPU 320 then generates scanning control  
35 signals outputted by the CPU 320 for controlling the XY and Z translators 310 and 312 to position probe 302 over the surface of the object 304 for AFM measurements.

The scanning control signals generated by the scanning control routine 322 control the XY and Z translators 310 and 312 so that tip 222 is positioned in close proximity to or in contact

with the object 304 depending on what type of force interaction between the tip 222 and the object 304 is desired. As a result, the cantilever 330 will be deflected due to atomic force interaction between the tip 222 and the object 304. As those skilled in the art know, this atomic force interaction may be due to Van der Waals forces, magnetic forces, electrostatic forces, lateral  
5 forces, or other related forces.

The deflection of the cantilever 330 representing the atomic force interaction between the tip 222 and the object 304 is optically detected by conventional optics 334. The conventional deflection measurement circuit 136 is coupled to the optics 334. It measures the optically detected deflection and outputs a deflection measurement signal containing data representing the  
10 measured deflection. The measured deflection also corresponds to the topography of the object. Thus, the optics 334 and the deflection measurement circuit 335 serve as a cantilever deflection measurer. Those skilled in the art will appreciate that other types of systems may be used to measure deflection of the cantilever 330.

The deflection measurement signal is provided to the CPU 320. The data contained by  
15 the signal is analyzed and processed by the AFM analysis routine 337 to produce AFM image data representing a high magnification (or nanoview) image of the topography of the object 304. The display routines 336 then formats the AFM image data and the CPU 320 provides it to the display monitor 318 for display. The routines 336 and 337 are both stored in the memory 324 and run on the CPU 320.

20 The scanning probe microscope assembly 300 of Figure 23 is configured also to perform scanning tunneling microscopy (STM). Like the AFM mode, the STM mode may occur when the user selects with the control terminal 316 the STM mode and also issues with control terminal 316 a control signal received by the CPU 320 for a scan of the object 304. During this scan, the scanning control routine 322 generates scanning control signals outputted by the CPU 320 for  
25 controlling the XY and Z translators 310 and 312 to position probe 302 over the surface of the object 304 for STM measurements.

The scanning control signals generated by the scanning control routine 322 control the XY and Z translators 310 and 312 so that tip 222 is positioned in close proximity to the object 304. Then, scanning control routine 322 generates tunneling control signals provided to the tunneling  
30 current measurement circuit 358. In response, the tunneling current measurement circuit 358 generates a voltage signal applied to the tip 222 of probe 302.

Since tip 222 is coated with a conductive layer, as described previously, a tunneling current is produced between the tip 222 and the object 304. The tunneling current in the object 304 is detected and measured by the tunneling current measurement circuit 358. In response, the  
35 tunneling current measurement circuit 358 outputs a tunneling current measurement signal containing data representing the measured tunneling current. The measured tunneling current corresponds to the topography of the object.

Alternatively, those skilled in the art will appreciate that the tunneling current may be kept fixed by changing the position of tip 222 with the Z axis translator 312. The amount of change in position required to keep the tunneling current constant is the measure of topography of the surface.

5       The tunneling current signal is provided to the CPU 320. The data contained by the signal is analyzed and processed by the STM analysis routine 338 to produce STM image data representing a high magnification (or nanoview) image of the topography of the object 304. The display routines 336 then formats the STM image data and the CPU 320 provides it to the display monitor 318 for display. The routine 338 is stored in the memory 324 and run on the CPU 320.

10       The scanning probe microscope assembly also includes a hardness testing mode which involves STM measurements. In this mode, the scanning control routine 322 controls the tunneling current measurement circuit 358 to make a conductivity measurement for object 304 at a particular location of the object 304 in a similar way to that described earlier for STM measurements. The data in the conductivity measurement signal representing the conductivity measured by the circuit  
15 358 is recorded in the data base 398 by the hardness testing analysis routine 395. The routine 395 is stored in the memory 124 and run on the CPU 120.

The scanning control routine 322 generates scanning control signals for controlling the Z translator 312 to make the tip 222 directly contact, penetrate, and deform the surface of the object 304 with a known force at the same location. While the tip 222 penetrates the surface of  
20 the object 304, scanning control routine 322 then controls the making of conductivity measurements of object 304 at the same location.

The data in the conductivity signal over the period before and during the penetration is recorded, analyzed, and processed by the hardness testing analysis routine 395 to produce data representing information on the hardness of the object 304. In this case, the measured change  
25 in conductivity over the period before and during penetration is a measure of the depth of penetration of tip 222 and in turn a measure of the hardness of the object 304. In bulk materials, this measure reflects local changes such as crystal dislocations, etc... In patterned materials, such as semiconductors, this measure provides subsurface structural information. The data produced by the routine 395 is formatted by the display routines 336 and provided to the display monitor 318  
30 for display of the hardness information.

Additionally, the actual deflection or motion of the tip as measured by the optics 334 and the deflection measurement circuit 336 can be used by the hardness testing routine 395 in conjunction with the known force to provide a measure of the hardness of the surface. The data produced by the routine 395 is formatted by the display routines 336 and provided to the display  
35 monitor 318 for display of the hardness information.

Tips with Light Emitting Porous Silicon for use in Spectrophotometry



Referring to Figure 24, there is shown an alternative embodiment of the scanning probe microscope assembly of Figure 23. In this embodiment, the probe 302 includes the tip 248 with porous silicon 216 at the sharp end for producing light as described earlier. This tip 248 may be used for the AFM, STM, and hardness testing modes in the manner just described and also for  
5 a near-field spectrophotometry mode that will be described next.

In the spectrophotometry mode, scanning control routine 322 controls the electric field generator 360 to generate an electrical field (voltage). This is applied between the outside conductive layer 252 and the core silicon material 216 of the probe 302. As a result, light is emitted in the manner described earlier at the sharp end of the tip 248 and optically interacts with  
10 the object 304.

The resulting photoemissive energy (such as fluorescence, Raman, and second harmonic) is detected by the photodetector 394 of the spectrophotometer 382 after the monochromator 396 of the spectrophotometer 382 has separated the photoemissive light into its constituent wavelengths. The monochromator 396 is preferably configured to separate the  
15 photoemissive light into an array of its constituent wavelengths and the photodetector 394 includes an array of photodiodes or photomultipliers for detecting the array of wavelengths.

Photodetector 394 converts the detected optical energy into a detection signal containing data representing the detected optical energy. The data contained by the detection signal is provided to the CPU 320 and analyzed and processed by the near-field spectrophotometry  
20 analysis routine 347 to produce data representing information on the composition of the object 304. This data is formatted by the display routines 336 and provided to the display monitor 318 for display of the information.

Moreover, the conductive layer 252 may be the highly obdurate conductive coating 228 of the type described earlier. In this case, the 248 may be used in a hardness testing mode.

Specifically, the scanning control routine 322 controls the making of a near-field spectrophotometric measurement in the way described earlier at a particular location of the object 304. A detection signal is provided to the CPU 320 by the photodetector 394 and the hardness  
25 testing analysis routine 395 records in the data base 398 the data of the detection signal representing the optical energy detected by the photodetector 394.

Then, the scanning control routine 322 generates scanning control signals for controlling the Z translator 312 so that tip 248 directly contacts, penetrates, and deforms the surface of the object 304 with a known force at the same location where the near-field spectrophotometric  
30 measurement was just made. While the tip 248 penetrates the surface of the object, scanning control routine 322 then controls the making of another near-field spectrophotometric measurement at the same location.

The data contained in the resulting detection signal provided by the photodetector 394, together with the earlier recorded data, is analyzed and processed by the hardness testing analysis routine 395 to produce data representing information on the hardness of the object 304.

This is done by determining the proportionate change in the detected optical energy between the two measurements which provides a measure of the depth of penetration of tip 248. The depth of penetration in turn is a measure of the local binding strength (i.e., hardness) of the object 304. This data is formatted by the display routines 336 and provided to the display monitor 318 for display of the hardness information.

#### Conclusion

While the present invention has been described with reference to a few specific embodiments, the description is illustrative of the invention and is not to be construed as limiting the invention. Furthermore, various other modifications may occur to those skilled in the art without departing from the true spirit and scope of the invention as defined by the appended claims.

What is claimed is:

1. A positioning system for positioning an object in a predefined direction, the positioning system comprising:
  - 5 a microfabricated positioning assembly comprising:
    - a stationary support structure;
    - a moveable support structure movably coupled to the support structure and moveable within a range of movement in the predefined direction with respect to the support structure;
    - 10 a positionable support structure positionable in the predefined direction;
    - a stationary support structure clamp to clamp and unclamp the positionable support structure to and from the stationary support structure;
    - a moveable support structure clamp to clamp and unclamp the positionable support structure to and from the moveable support structure; and
    - 15 a controller to control positioning of the positionable support structure in the predefined direction within a range of positioning that is larger than the range of movement of the moveable support structure by controlling (A) the stationary support structure clamp in clamping and unclamping the positionable support structure to and from the stationary support structure, (B) the moveable support structure clamp in clamping and unclamping the positionable structure to and from the moveable support structure, and (C) the movement of the moveable support structure;
    - 20 the object being disposed on the positionable support structure so that the object is positionable in the predefined direction within the range of positioning.
2. A positioning system as recited in claim 1 further comprising:
  - 25 an electrostatic comb drive to electrostatically movably couple the moveable structure to the stationary support structure, the electrostatic comb drive comprising:
    - a stationary comb structure connected to the stationary support structure; and
    - a moveable comb structure connected to the moveable support structure, the moveable comb structure electrostatically interacting with the stationary comb structure to move
    - 30 in the predefined direction with the moveable support structure;
    - the controller controlling the movement of the moveable structure by controlling the electrostatic interaction of the stationary and moveable comb structures.
3. A positioning system as recited in claim 1 further comprising:
  - 35 a piezoelectric drive connected between the stationary and moveable support structures to movably couple the moveable support structure to the stationary support structure, the piezoelectric drive expanding and contracting in the predefined direction to move the moveable support structure in the predefined direction;

the controller controlling the movement of the movable support structure in the predefined direction by controlling the expansion and contraction of the piezoelectric drive.

4. A positioning system as recited in claim 1 further comprising:

5 a heater drive to movably couple the moveable structure to the support structure, the heater drive comprising:

a thermally expandable and contractible structure connected between the stationary and moveable support structures; and

10 heater elements disposed on the thermally expandable and contractible structure to thermally expand and contract the thermally expandable and contractible structure in the predefined direction to move the moveable support structure in the predefined direction;

the controller controlling the movement of the moveable support structure by controlling the thermal expansion and contraction of the thermally expandable and contractible structure by the heater elements.

15

5. A positioning assembly as recited in claim 1 wherein at least one support structure clamp and the at least one moveable structure clamp are connected to the positionable structure.

6. A positioning assembly as recited in claim 5 wherein:

20 the support structure includes support structure rails extending in the predefined direction; the stationary support structure clamp clamps and unclamps the positionable support structure to and from the stationary support structure rails;

the moveable support structure includes rails extending in the predefined direction; and

25 the moveable support structure clamp clamps and unclamps the positionable support structure to and from the moveable support structure rails.

7. A data storage device comprising:

a deformable storage medium;

one or more write probes each comprising:

30 a write tip comprising a highly obdurate coating capable of deforming the storage medium;

a write tip positioning apparatus to position the write tip with respect to the storage medium;

one or more read probes each including a conductive read tip;

35 a probe and storage medium positioning apparatus to position the read and write probes with respect to each other;

a controller to (A) during a write mode, control the probe and storage medium positioning apparatus in positioning the write probes over the storage medium, (B) during the write mode,

control each write tip positioning apparatus in lowering the corresponding write tip a predetermined amount into the storage medium so as to cause a predetermined amount of deformation in the storage medium representing data written thereto, (C) during a read mode, control the probe and storage medium positioning apparatus in positioning the read probes over the storage medium, and (D) during the read mode, produce and measure a tunneling current between each conductive read tip and the storage medium to identify a predetermined amount of deformation caused in the storage medium below the corresponding read tip during the write mode so that the data written thereto is read therefrom.

10 8. A data storage device as recited in claim 7 further comprising:  
one or more heater elements below the storage medium;  
the controller controlling during erase modes at least one of the one or more heater elements to heat the storage medium until deformations caused thereabove in the storage medium are removed.

15 9. A data storage device as recited in claim 7 wherein:  
each read tip further comprises:  
a core material with a sharp end; and  
an insulating coating over the core material but not at the sharp end;  
20 a conductive coating over the core material at the sharp end and the insulating coating;  
the controller (A) during an erase mode, controls the probe and storage medium positioning apparatus in positioning the read probes over the storage medium, and (B) during the erase mode, produces a current between the conductive coating and the core material of each  
25 read tip to heat the storage medium below the corresponding read tip until a deformation caused in the storage medium below the corresponding read tip during the write mode is removed.

10. A data storage device as recited in claim 7 wherein the highly obdurate material comprises diamond.

30 11. A data storage device as recited in claim 7 wherein the highly obdurate material comprises carbon nitride.

12. A data storage device comprising:  
35 a deformable storage medium;  
a plurality of probes each comprising:  
a tip comprising a conductive highly obdurate coating capable of deforming the storage medium;

- a tip positioning apparatus to lower the tip;  
a probe and storage medium positioning apparatus to position the probes over the storage medium;  
a controller to (A) during a write mode, control the probe and storage medium positioning apparatus in positioning the probes over the storage medium, (B) during the write modes, control each tip positioning apparatus in lowering the corresponding tip a predetermined amount into the storage medium so as to cause a predetermined amount of deformation in the storage medium representing data written thereto, (C) during read modes, control the probe and storage medium positioning apparatus in positioning the probes over the storage medium, (D) during the read modes, control each tip positioning apparatus in lowering the corresponding tip close to the storage medium, and (E) during the read mode, produce and measure a tunneling current between the conductive obdurate coating of each tip and the storage medium to identify a predetermined amount of deformation caused in the storage medium below the corresponding tip during the write mode so that the data written thereto is read therefrom.
13. A data storage device as recited in claim 12 or further comprising:  
one or more heater elements below the storage medium;  
the controller controlling during erase modes at least one of the one or more heater elements to heat the storage medium until deformations caused thereabove in the storage medium are removed.
14. A data storage device as recited in claim 12 wherein:  
each tip further comprises:  
a core material with a sharp end; and  
an insulating coating over the core material but not at the sharp end;  
the conductive highly obdurate coating being over the core material at the sharp end and the insulating coating;  
the controller (A) during an erase mode, controls the probe and storage medium positioning apparatus in positioning the read probes over the storage medium, and (B) during the erase mode, produces a current between the conductive highly obdurate coating and the core material of each tip to heat the storage medium below the corresponding tip until a deformation caused in the storage medium below the corresponding tip during the write mode is removed.
15. A data storage device as recited in claim 12 wherein the conductive highly obdurate material comprises diamond doped to be conductive.
16. A data storage device as recited in claim 12 wherein the conductive highly obdurate material comprises silicon carbide doped to be conductive.

17. A data storage device as recited in claim 12 wherein the conductive highly obdurate material comprises carbon nitride doped to be conductive.
18. A data storage device comprising:
- 5 a storage medium alterable by light;  
one or more light emitting write probes capable of emitting light;  
one or more read probes capable of detecting alterations of the storage medium;  
a positioning apparatus to position the read and write probes over the storage medium;  
a controller to (A) during a write mode, control the positioning apparatus in positioning the  
10 write probes over the storage medium so that the light emitting write tips are over the storage medium, (B) during the write mode, control each light emitting write probe to emit a predetermined amount of light so as to cause a predetermined amount of alteration of the storage medium and write data thereto, (C) during a read mode, control the positioning apparatus in positioning the read  
15 probes over the storage medium so that each read probe detects a predetermined amount of alteration of the storage medium caused during the write mode, and (D) during the read mode, measure each detected predetermined amount of alteration of the storage medium so that the data written to the storage medium during the write mode is read therefrom.
19. A data storage device as recited in claim 18 wherein:
- 20 each light emitting write probe includes a write tip comprising:
- a core material with a sharp end,  
a light emissive coating over the core material; and  
a conductive coating over the light emissive coating;
- the controller is coupled to the core material and the conductive coating of each light  
25 emitting write tip to apply across them during the write mode a voltage of predetermined amount so that the sharp end of the corresponding light emitting write tip emits a predetermined amount of light so as to cause a predetermined amount of alteration of the storage medium
20. A data storage device as recited in claim 18 wherein:
- 30 each light emitting write tip comprises a porous material;  
the controller is coupled to each light emitting write tip to produce during the write mode a current of predetermined amount in the porous material of the light emitting write tip so that it emits a predetermined amount of light so as to cause a predetermined amount of alteration of the storage medium.
- 35
21. A data storage device as recited in claim 20 wherein the porous material comprises porous silicon.

22. A data storage device as recited in claim 18 wherein:  
the storage medium comprises:  
charge storage cells each storing a charge alterable by light;  
one or more conductors around the charge storage cells;  
5 each read probe is conductive;  
the controller (A) during the write mode, controlling each write probe to emit a predetermined amount of light so as to cause a predetermined amount of charge in a corresponding one of the charge storage cells to be leaked off so as to write data thereto, (B) during the read mode, controlling the positioning apparatus in positioning each read probe over  
10 a corresponding one of the charge storage cells to detect the predetermined amount of charge therein leaked off during the write mode, (C) during the read mode, measure the detected predetermined amounts of charges leaked off so that data written during the write mode to the corresponding ones of the charge storage cells is read therefrom, and (D) during an erase mode, controlling at least one of the one or more conductors to transfer a predetermined amount of  
15 charge to the charge storage cells therein so as to restore the charges therein leaked off during the write mode.
23. A data storage device comprising:  
an electrically alterable storage medium;  
20 a triangular ridge support structure;  
one or more triangular ridges on the base structure;  
a positioning apparatus to position the triangular ridge support structure over the storage medium;  
an acoustic wave generator on one of the triangular ridge support structure and the  
25 storage medium to produce surface acoustic waves thereon that propagate in a direction parallel to the axial length of the triangular ridges;  
a controller to (A) during a write mode, control the positioning apparatus in positioning the triangular ridge support structure over the storage medium so that each triangular ridge is over a corresponding region of the storage medium to be written, (B) during the write mode, control the  
30 acoustic wave generator to produce an acoustic wave, (C) during the write mode, apply at a predetermined time across each triangular ridge and the storage medium a voltage pulse having a predetermined voltage and duration while the acoustic wave produced during the write mode propagates so that a region of the triangular ridge above the corresponding region to be written is displaced down theretoward and the corresponding region to be written is electrically altered by  
35 a predetermined amount, (D) during a read mode, control the positioning apparatus in positioning the triangular ridge support structure over the storage medium so that each triangular ridge is over a corresponding region of the storage medium to be read, (E) during the read mode, control the acoustic wave generator to produce an acoustic wave, (F) during the read mode, detect with each



triangular ridge at a predetermined time while the acoustic wave produced during the read mode propagates so that a region of the triangular ridge above the corresponding region to be read is displaced down theretoward a predetermined amount of electrical alteration of the corresponding region to be read, (G) during the read mode, measure each detected predetermined amount of  
5 electrical alteration of the corresponding region to be read so that the data written to thereto during the write mode is read therefrom.

24. A biochemical instrument for biochemically interacting with a sample material, the biochemical instrument comprising:

10 a probe comprising a tip with a sharp end and a core material that extends to the sharp end of the tip, the core material having pores that form binding sites of a specific size for holding selected molecules; and

a positioning apparatus to position the tip with respect to the sample material; and

a controller to control the positioning apparatus in positioning the tip so as to pickup the  
15 selected molecules from the sample material, deposited the selected molecules in the sample material, or cause an attractive force between the selected molecules and molecules of the sample material.

25. A biochemical instrument as recited in claim 24 wherein:

20 the probe further comprises the positioning apparatus, the positioning apparatus including:

a cantilever to which the tip is connected; and

a cantilever mover to move the cantilever with respect to the sample material;

the controller controlling the cantilever mover in moving the cantilever so as to lower the  
25 tip to the sample material and cause an attractive force between the selected molecules and the molecules of the sample material;

the biochemical instrument further comprises means including the controller for measuring the attractive force by detecting deflection of the cantilever caused by the attractive force.

26. A biochemical instrument as recited in claim 25 or a probe as recited in claim 27 wherein  
30 the core material comprises silicon that is porously etched at the sharp end of the tip.

27. A probe, comprising:

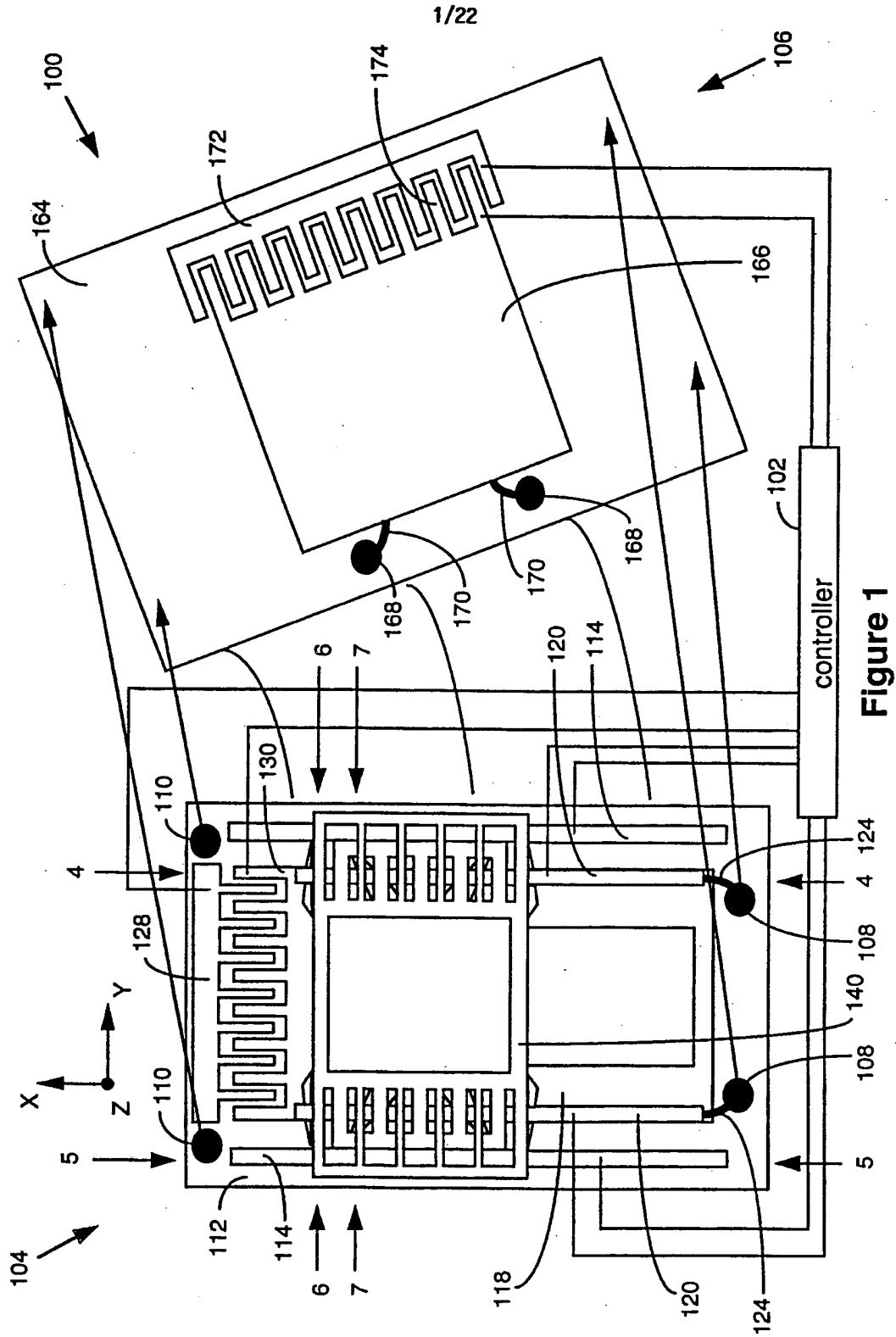
a tip having a sharp end;

a core material that extends to the sharp end of the tip, the core material at the sharp end  
35 of the tip having pores that form binding sites for holding selected molecules of a specific size.

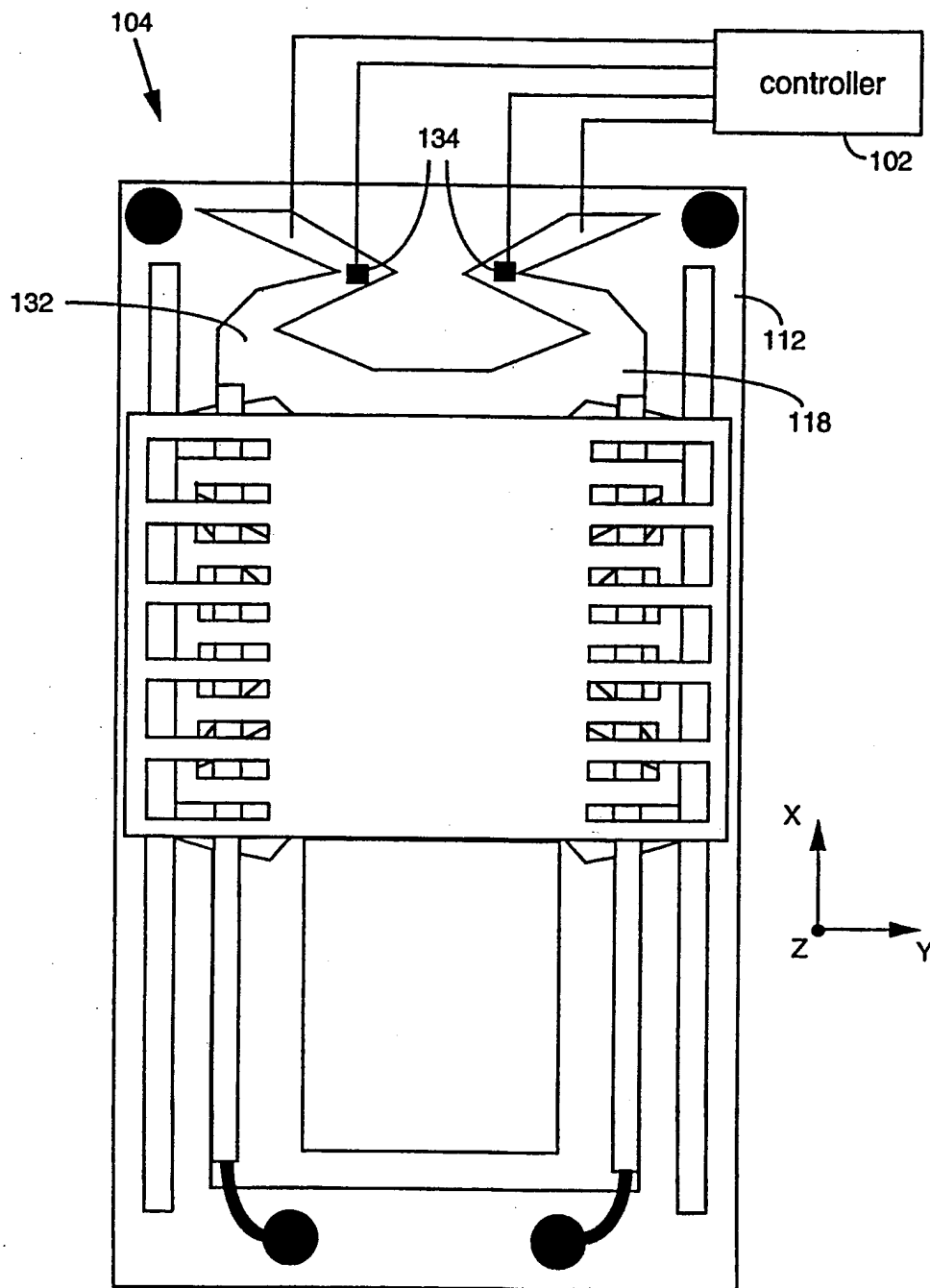
28. A probe as recited in claim 27 wherein the probe further comprises a positioning apparatus including a cantilever to which the tip is connected and a cantilever mover to move the cantilever for lowering and raising the tip.
- 5 29. A probe as recited in claim 27 wherein the core material comprises silicon that is porously etched at the sharp end of the tip.
30. A device for interacting with an object, the device comprising:  
a probe comprising a tip with a sharp end, a material extending to the sharp end, and an  
10 obdurate diamond coating over the core material at least at the sharp end of the tip, the obdurate diamond coating being doped to be conductive; and  
one or more means to induce and detect one or more interactions between the object and the tip using the conductive obdurate diamond coating.
- 15 31. A device as recited in claim 30 wherein the object comprises a deformable material and the device further comprises:  
means to position the tip so that the tip penetrates and deforms the deformable material;  
and  
means to induce and detect a tunneling current between the object and the tip by applying  
20 a voltage between the conductive obdurate diamond coating and the object and to determine the amount of deformation of the object from the detected tunneling current.
32. A device as recited in claim 30 wherein the one or more interaction means comprises tunneling current means to induce and detect a tunneling current between the object and the tip  
25 by applying a voltage between the object and the conductive obdurate diamond coating.
33. A device as recited in claim 30 wherein:  
the probe further comprises a cantilever connected to said tip;  
the one or more non-optical interaction means further comprises atomic force means for  
30 inducing atomic force interaction between the tip and the object and for detecting deflection of the cantilever due to the atomic force interaction.
34. A probe, comprising:  
a tip having a sharp end;  
35 a material extending to the sharp end; and  
an obdurate diamond coating over the material at least at the sharp end, the obdurate diamond coating being doped to be conductive.

35. A probe as recited in claim 34 further comprising a cantilever connected to the tip.
36. A device for interacting with an object, the device comprising:  
a probe comprising a tip with a sharp end, a material extending to the sharp end, and an  
5 obdurate diamond coating over the material, the obdurate diamond coating having diamond  
crystals at the sharp end that are symmetrically aligned and diamond crystals below the sharp end  
that are not; and  
one or more means to induce and detect one or more interactions between the object and  
the tip using the conductive obdurate diamond coating.
- 10 37. A probe, comprising:  
a tip having a sharp end;  
a material extending to the sharp end; and  
an obdurate diamond coating over the material at least at the sharp end, the obdurate  
15 diamond coating having diamond crystals at the sharp end that are symmetrically aligned and  
diamond crystals below the sharp end that are not.
38. A scanning probe microscope assembly for examining an object, comprising:  
a probe comprising a tip having a sharp end and a core material extending to the sharp  
20 end of the tip, the core material being porous at the sharp end of the tip;  
means for applying a voltage between the conductive coating and the core material so that  
a current flows through the porous core material at the sharp end and light is emitted in response  
by the porous core material at the sharp end, the emitted light optically interacting with the object;  
and  
25 a photodetector for detecting light resulting from the emitted light optically interacting with  
the object.
39. A scanning probe microscope assembly as recited in claim 38 wherein the core material  
is silicon.
- 30 40. A scanning probe microscope assembly as recited in claim 38 further comprising:  
an insulating material over the core material but not at the sharp end of the tip;  
a conductive coating over the insulating coating and over at least a portion of the porous  
core material at the sharp end of the tip such that the current flows through the porous core  
35 material at the sharp end of the tip when a voltage is applied across the core material and the  
conductive coating, the conductive coating not being over a portion of the porous core material at  
the sharp end of the tip or having a transparent portion at the sharp end so as to form an aperture  
through which the light is emitted.

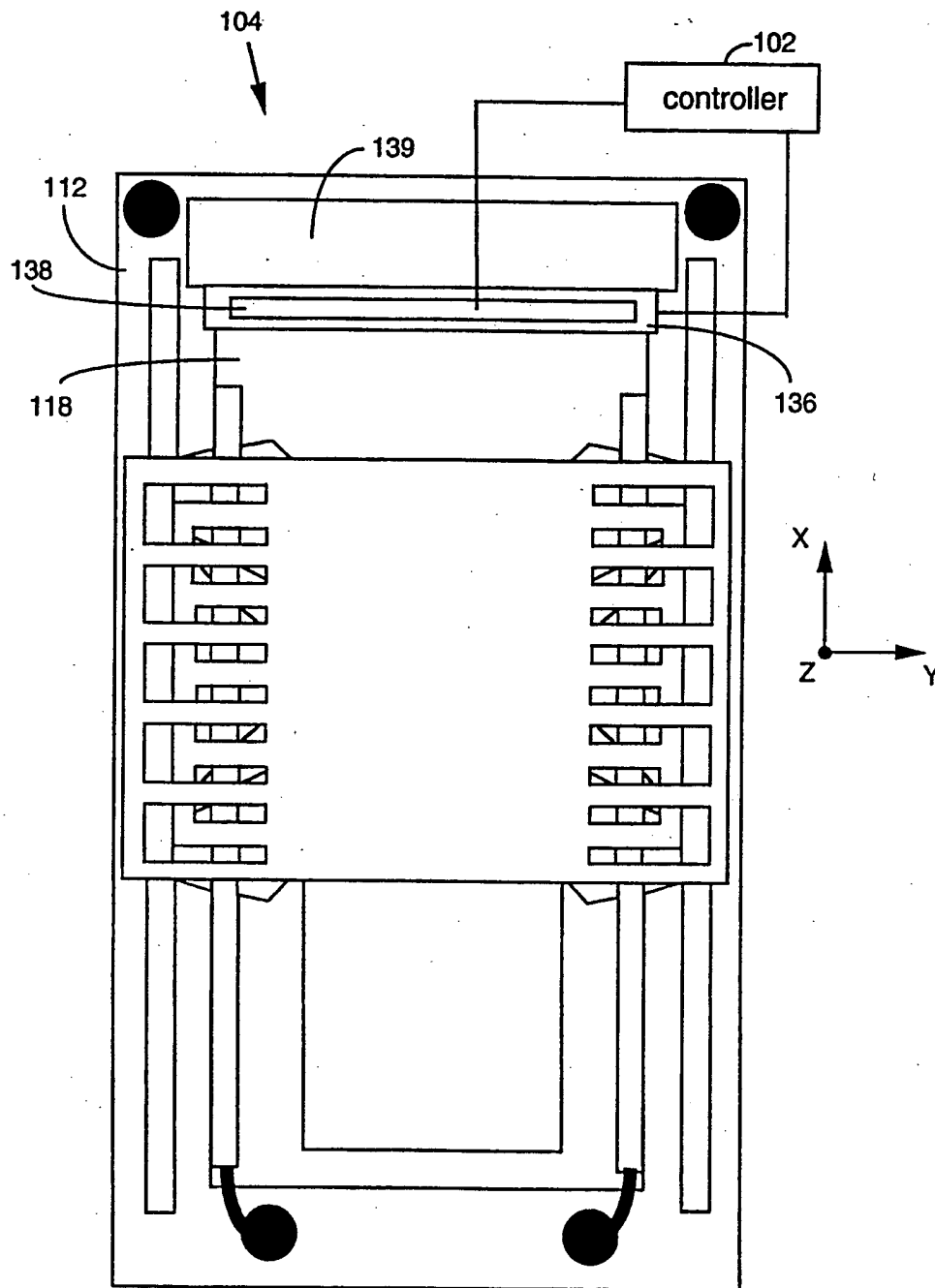
41. A scanning probe microscope assembly as recited in claim 40 further comprising tunneling current means for inducing and detecting a tunneling current between the tip and the object by applying a voltage between the conductive coating and the object.
- 5 42. A scanning probe microscope assembly as recited in claim 40 wherein:  
the probe further includes a cantilever connected to the tip; and  
the scanning probe microscope assembly further comprises atomic force means for inducing atomic force interaction between the tip and the object and for detecting deflection of the cantilever due to the atomic force interaction.
- 10 43. A probe, comprising:  
a tip having a sharp end;  
a core material extending to the sharp end of the tip, the core material being porous at the sharp end of the tip so as to emit light at the sharp end when a current flows therethrough.
- 15 44. A probe as recited in claim 38 wherein the core material is silicon.
45. A probe as recited in claim 38 further comprising:  
an insulating material over the core material but not at the sharp end of the tip;  
20 a conductive coating over the insulating coating and over at least a portion of the porous core material at the sharp end of the tip such that the current flows through the porous core material at the sharp end of the tip when a voltage is applied across the core material and the conductive coating, the conductive coating not being over a portion of the porous core material at the sharp end of the tip or having a transparent portion at the sharp end so as to form an aperture  
25 through which the light is emitted.
46. A probe as recited in claim 43 further comprising a cantilever to which the tip is connected.



2/22

**Figure 2**

3/22

**Figure 3**

4/22

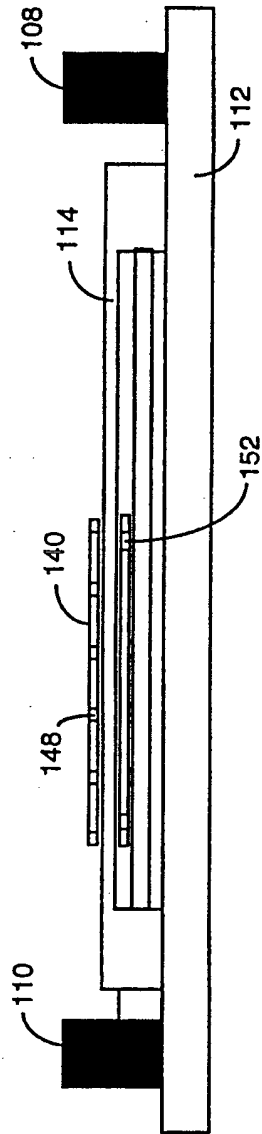


Figure 5

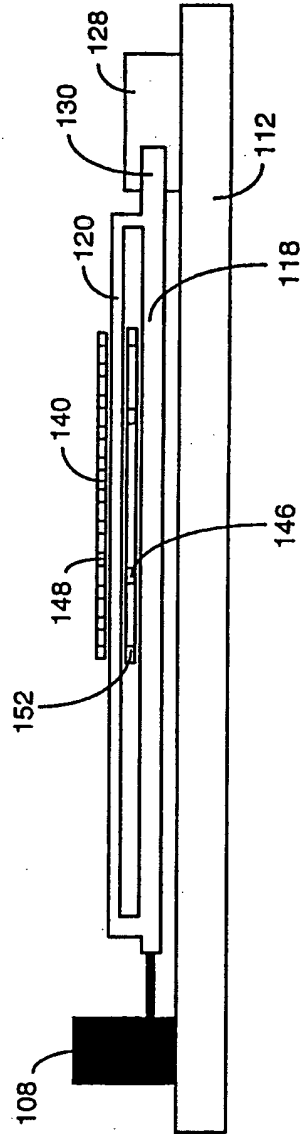


Figure 4



5/22

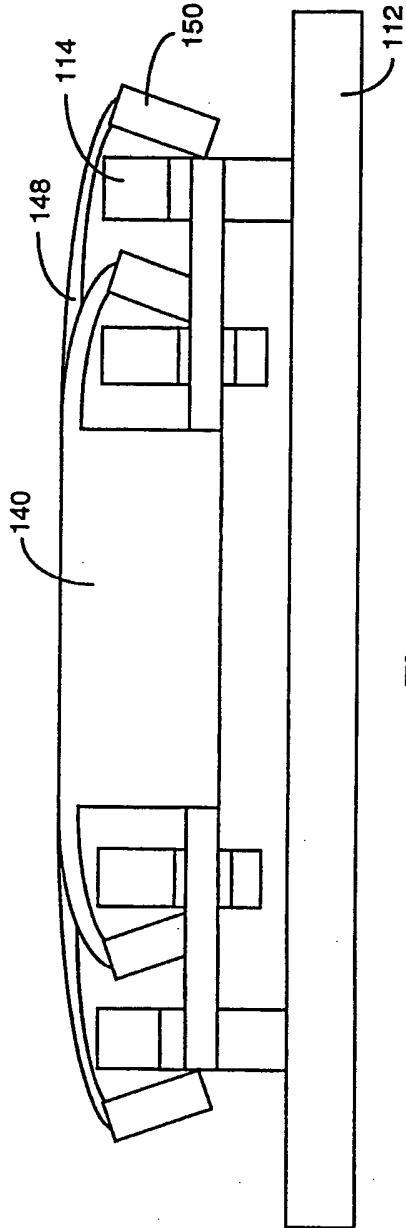


Figure 6

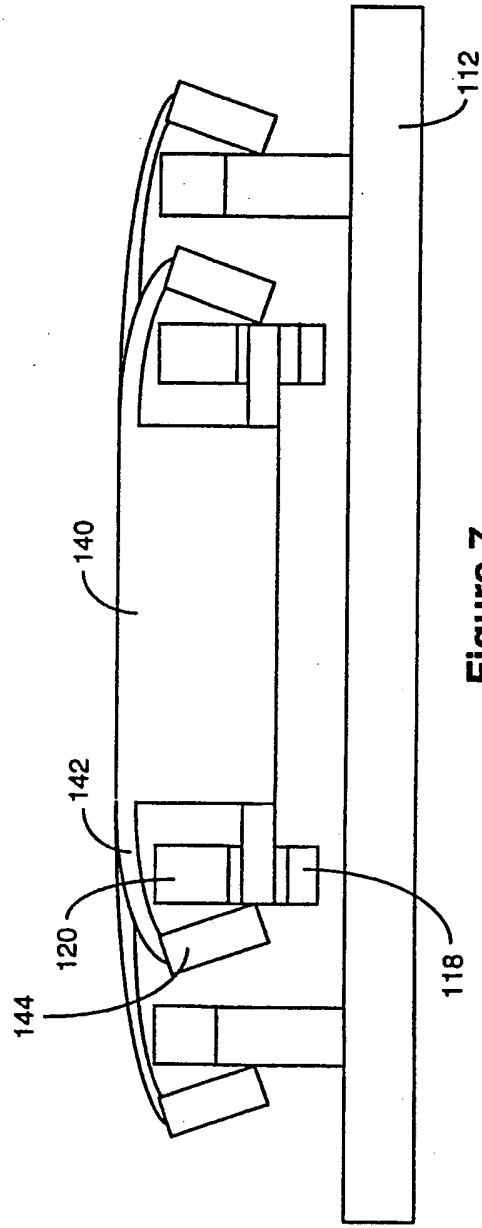


Figure 7

6/22

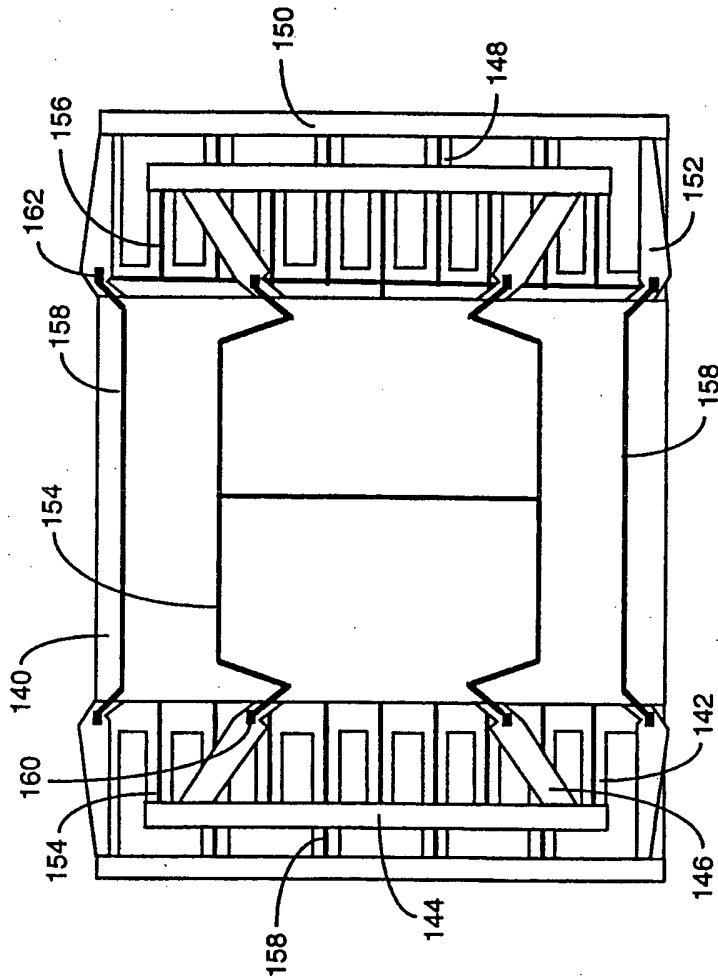


Figure 8

7/22

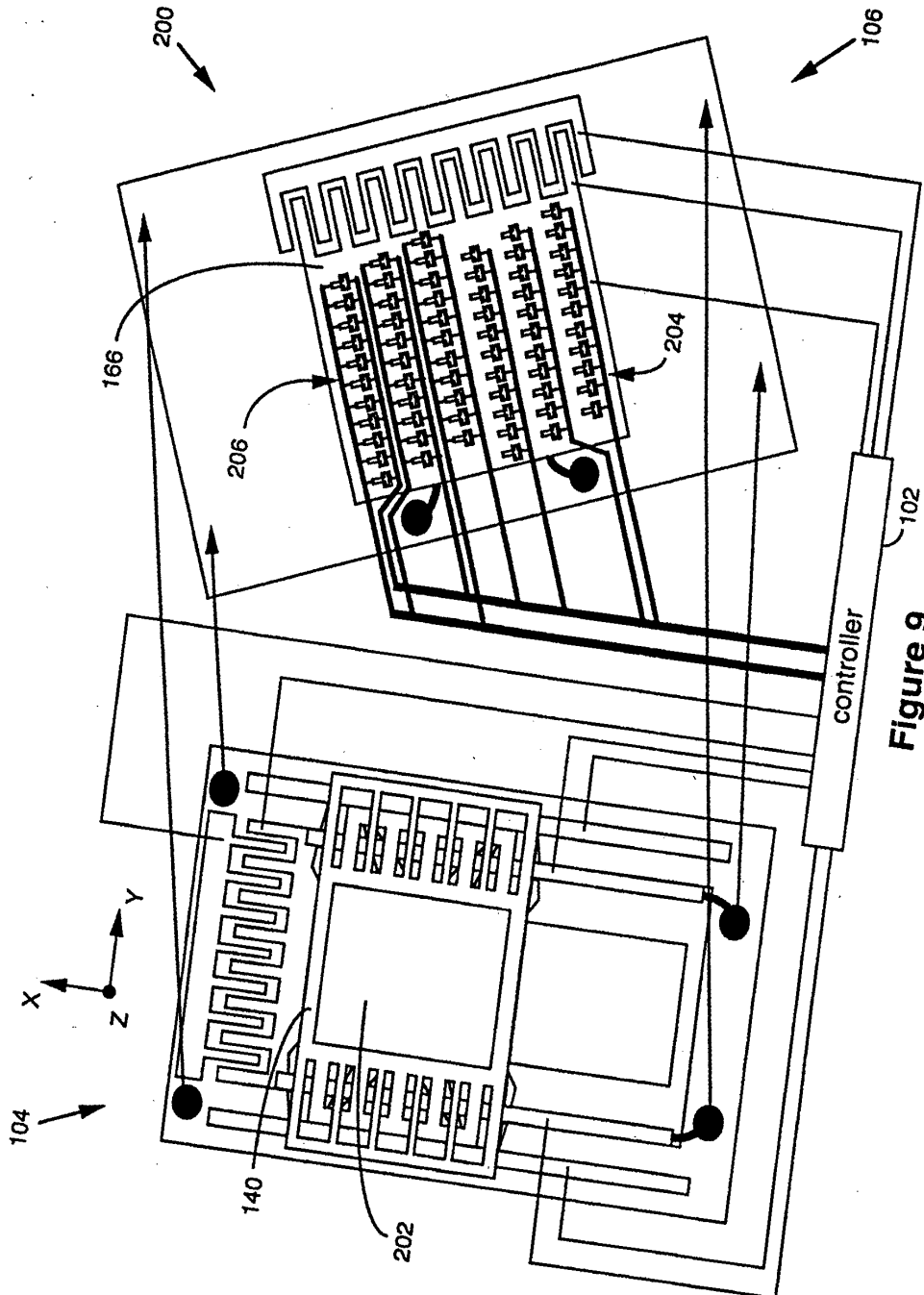


Figure 9

8/22

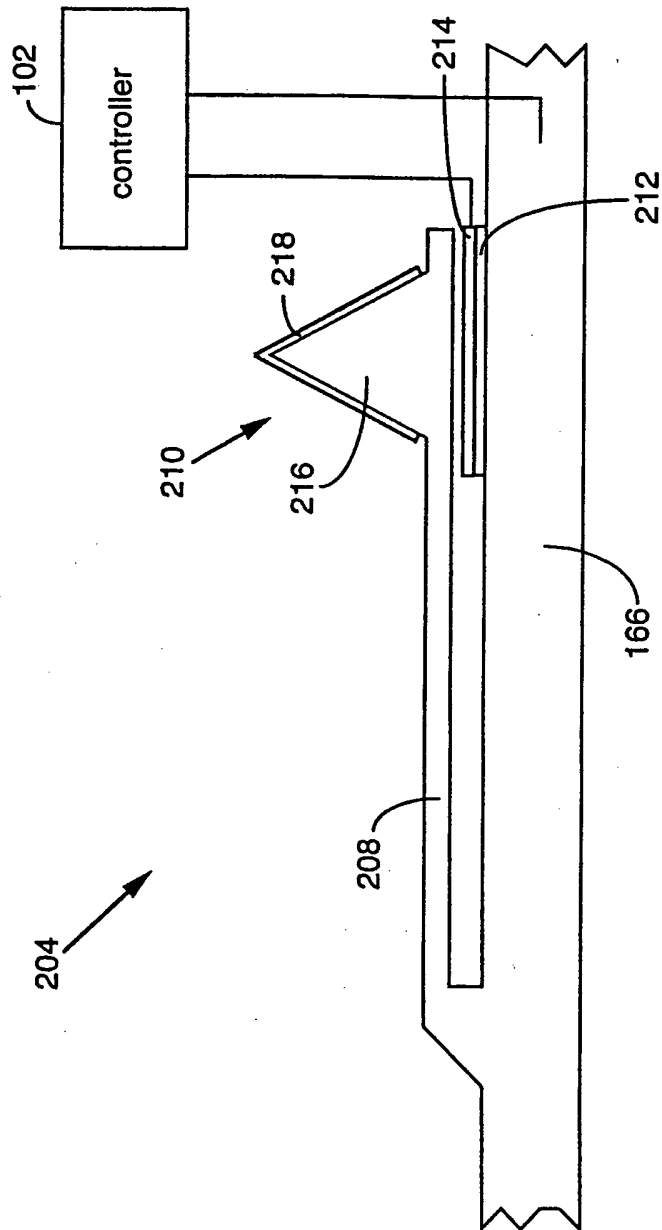


Figure 10

9/22

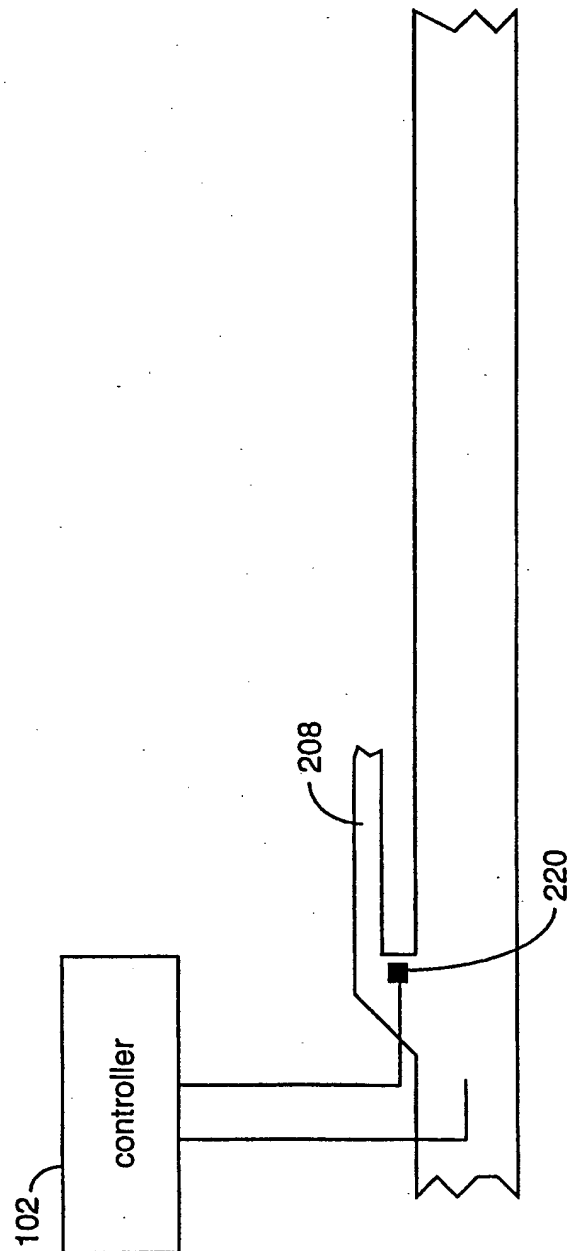


Figure 11

10/22

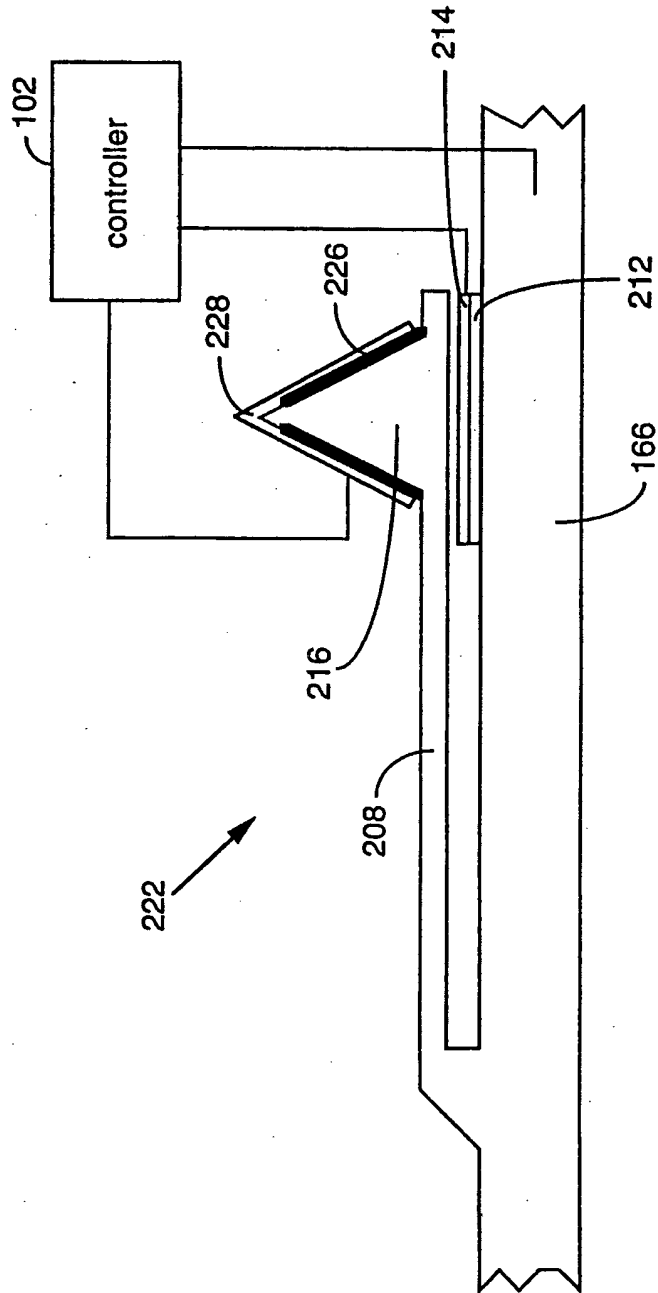


Figure 12

11/22

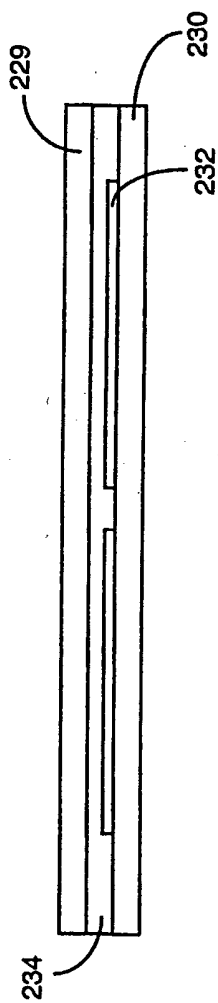


Figure 13

12/22

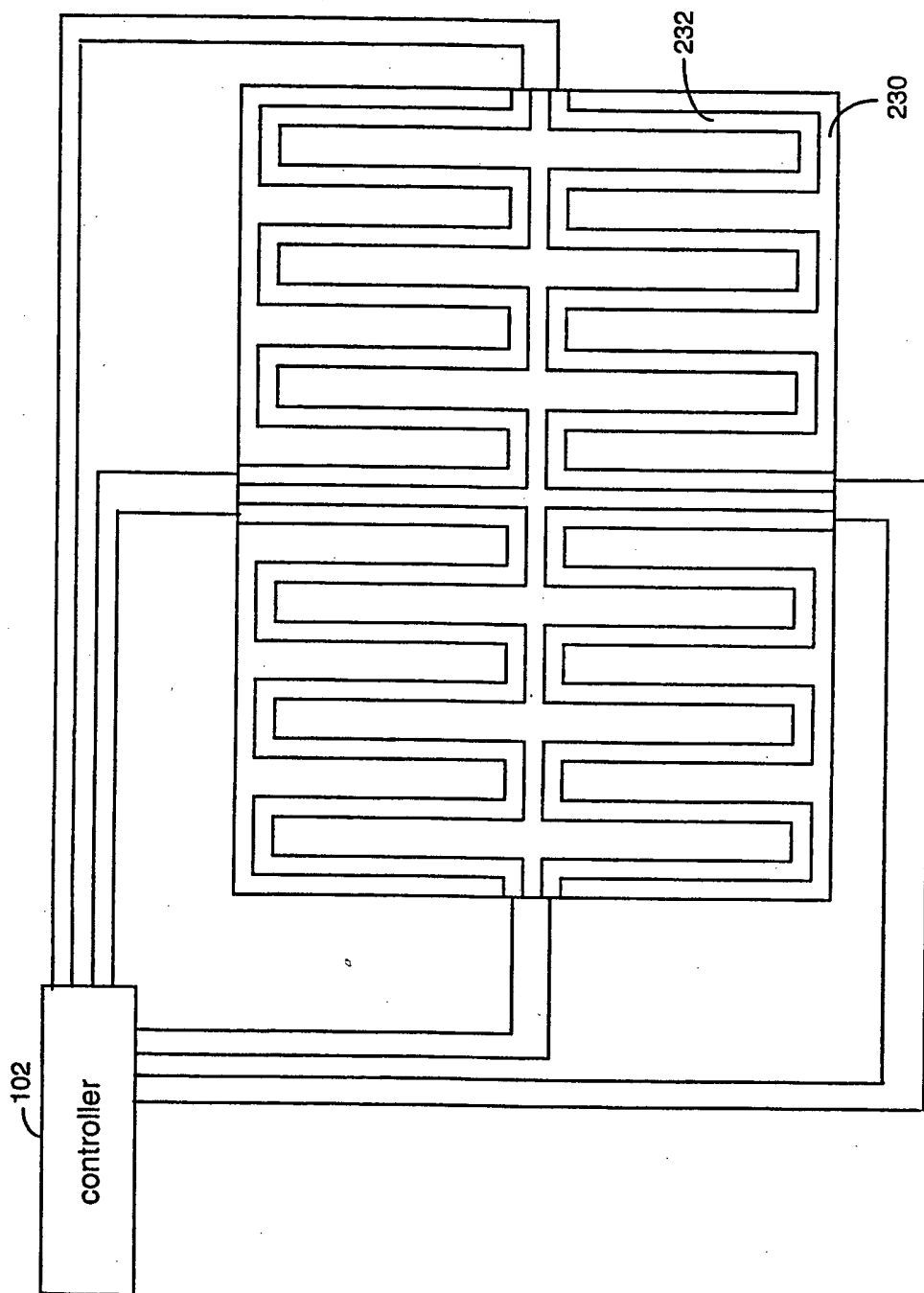


Figure 14



13/22

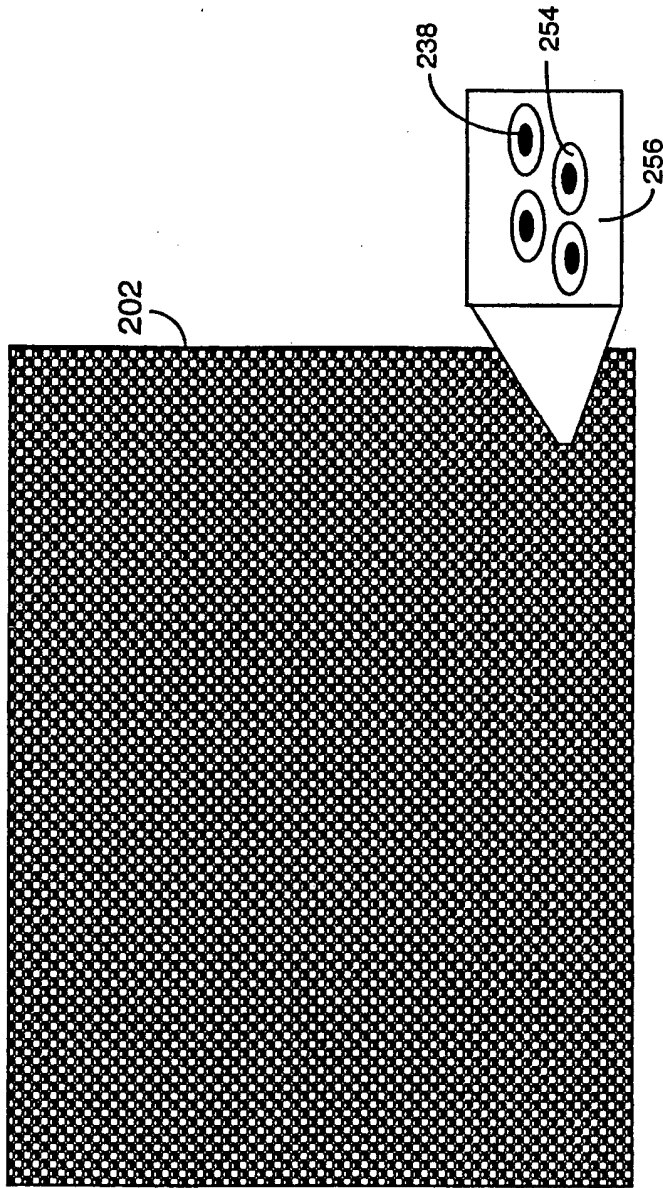


Figure 15

14/22

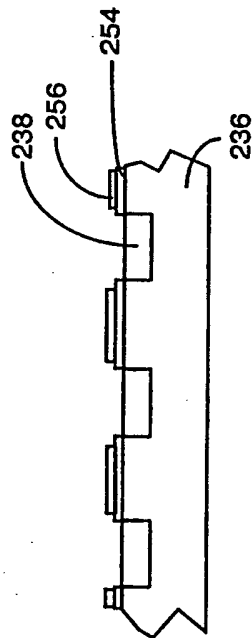


Figure 16

15/22

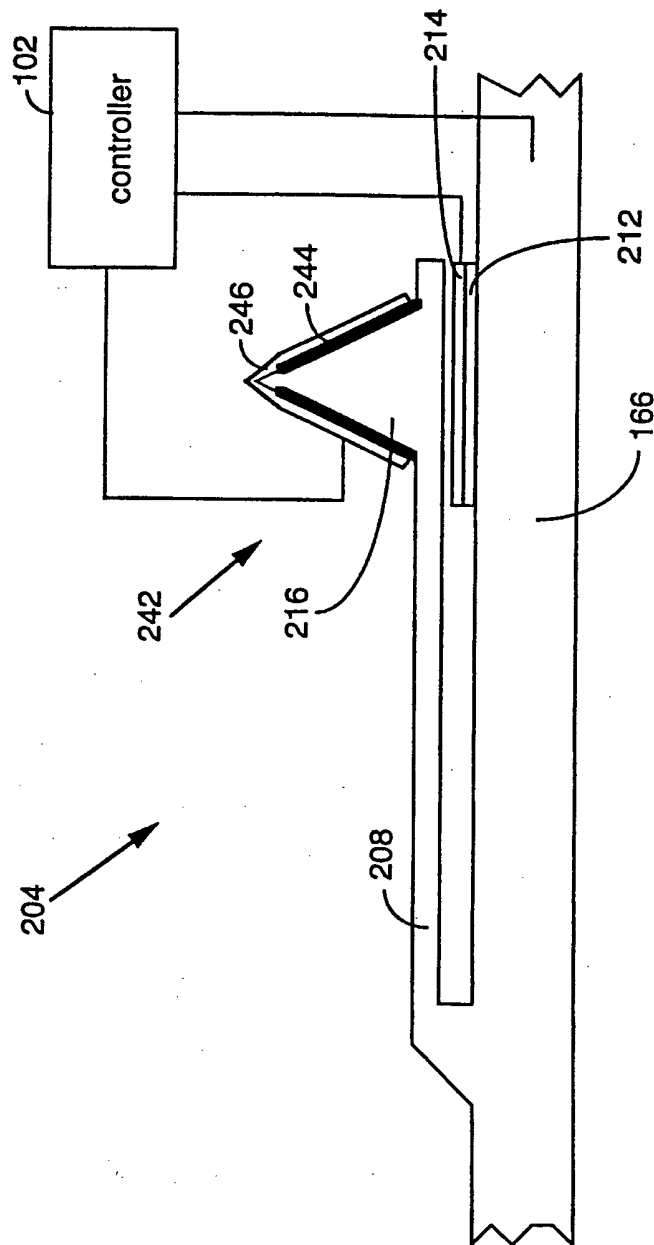


Figure 17

16/22

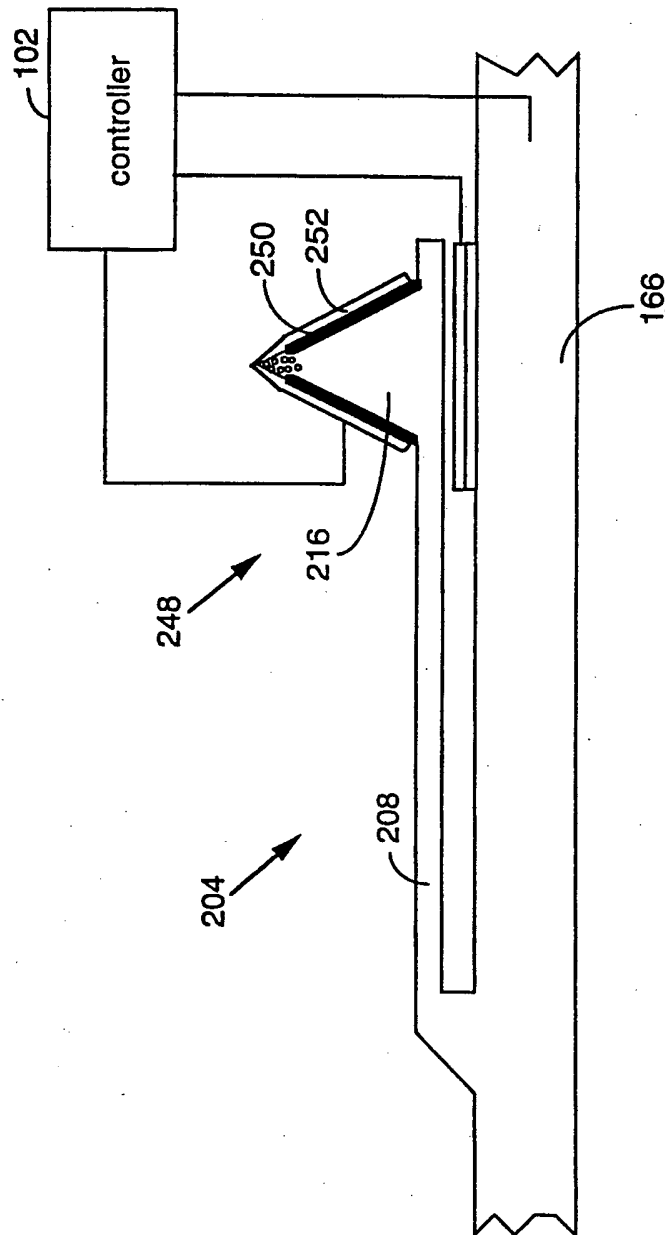


Figure 18

17/22

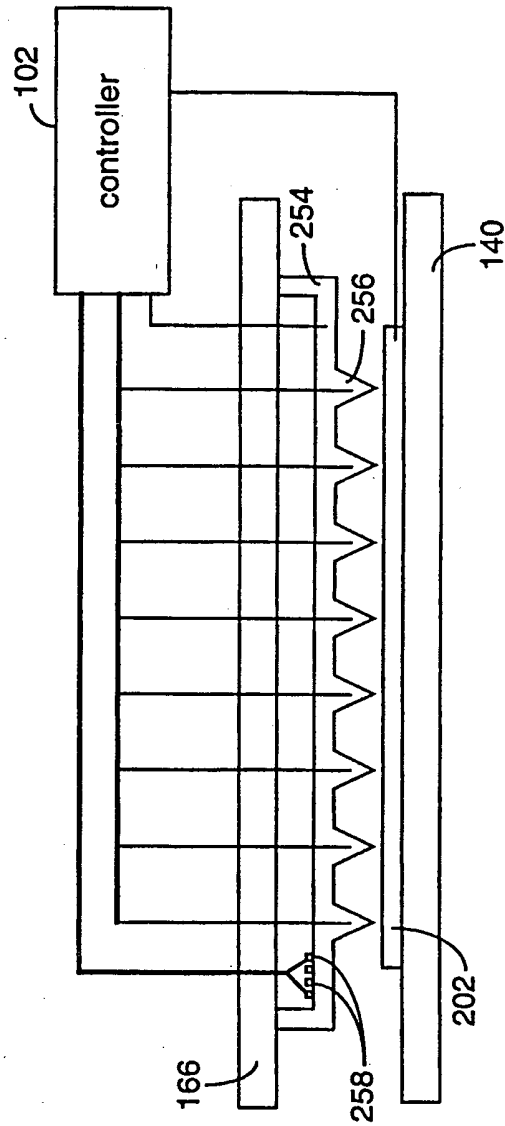
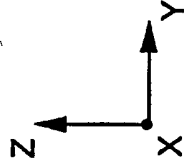


Figure 19

18/22

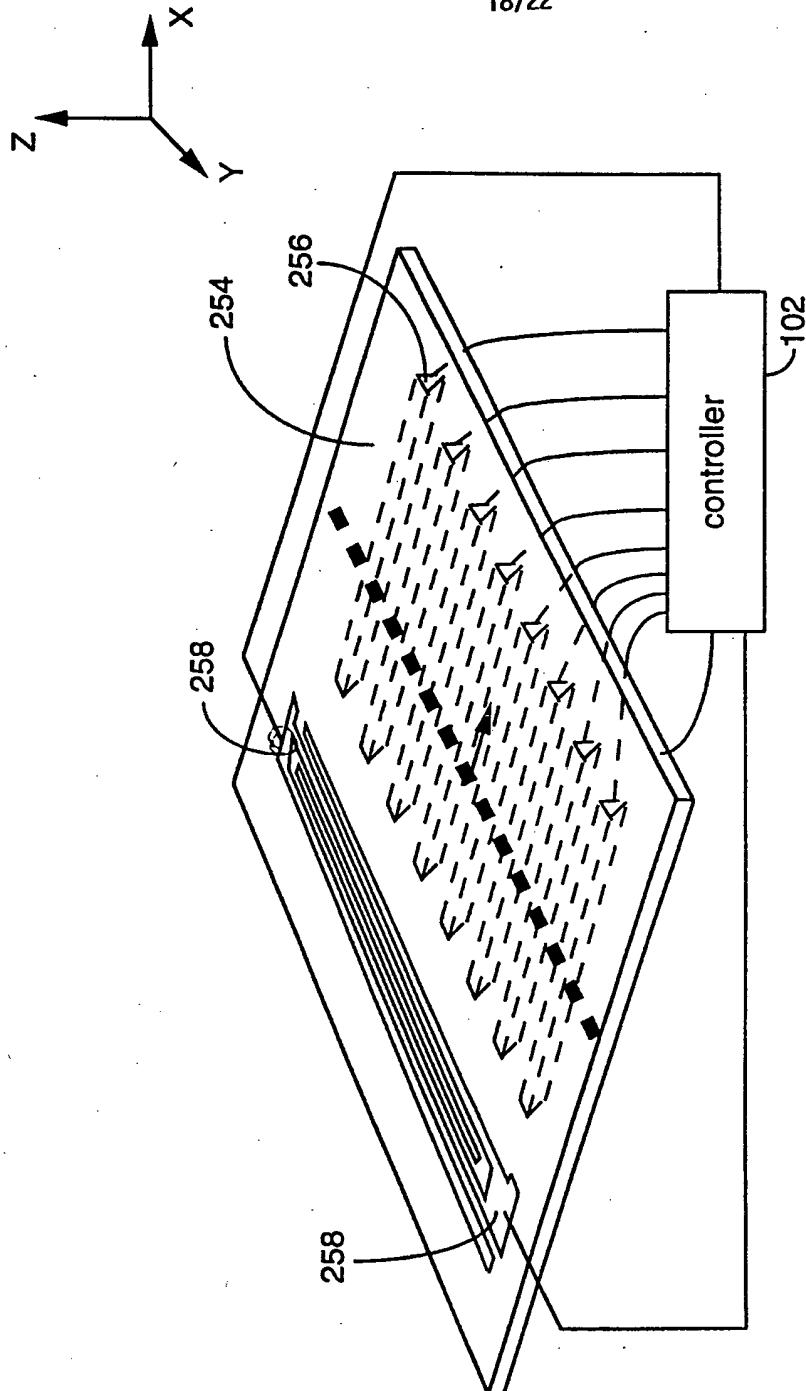


Figure 20

19/22

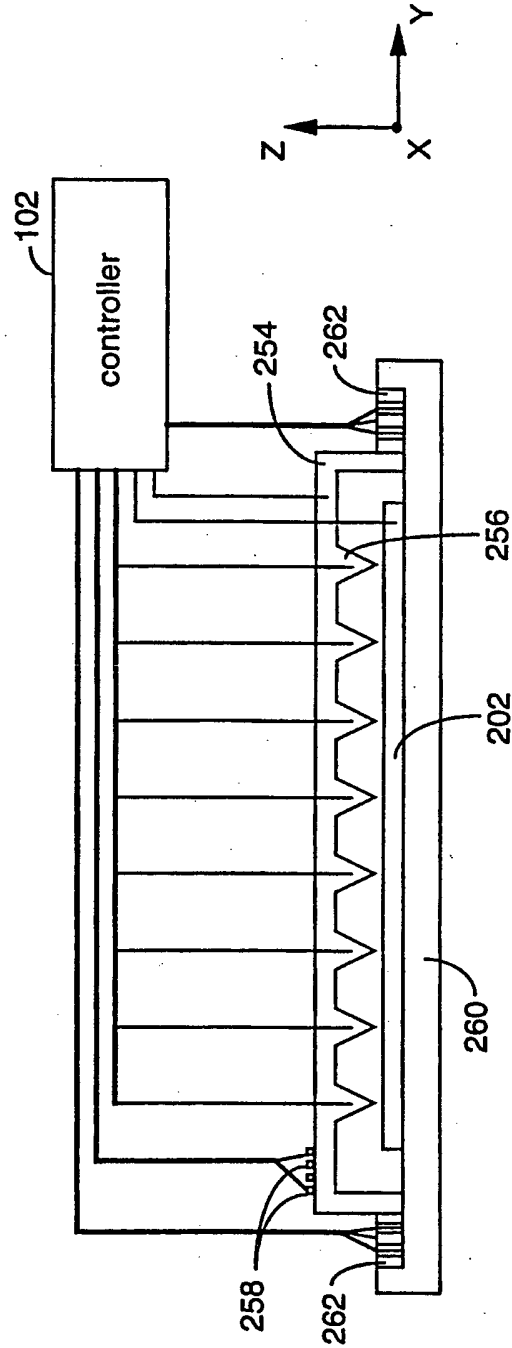


Figure 21

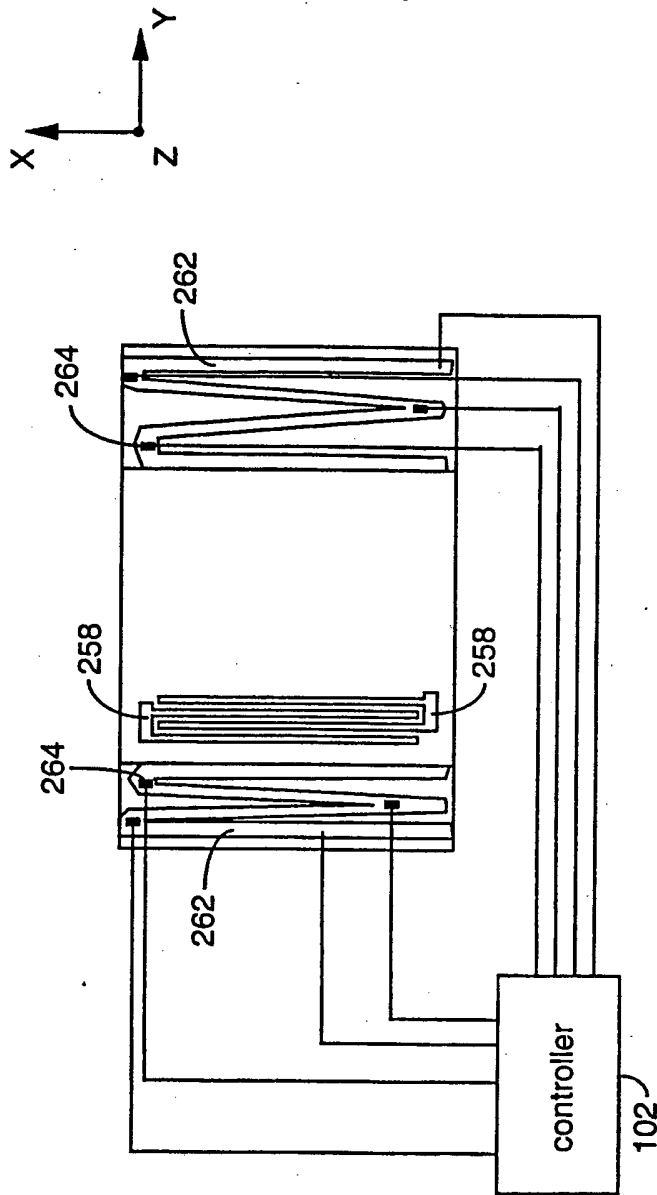


Figure 22



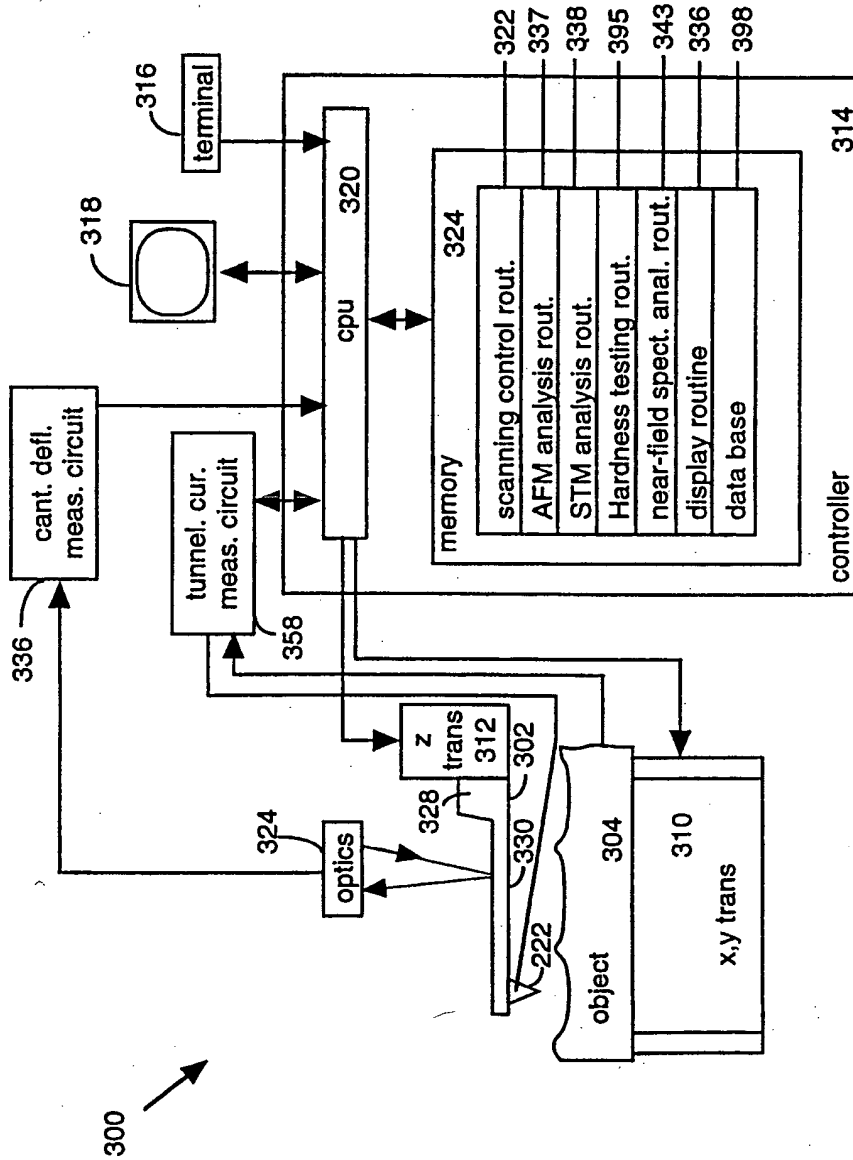


Figure 23

22/22

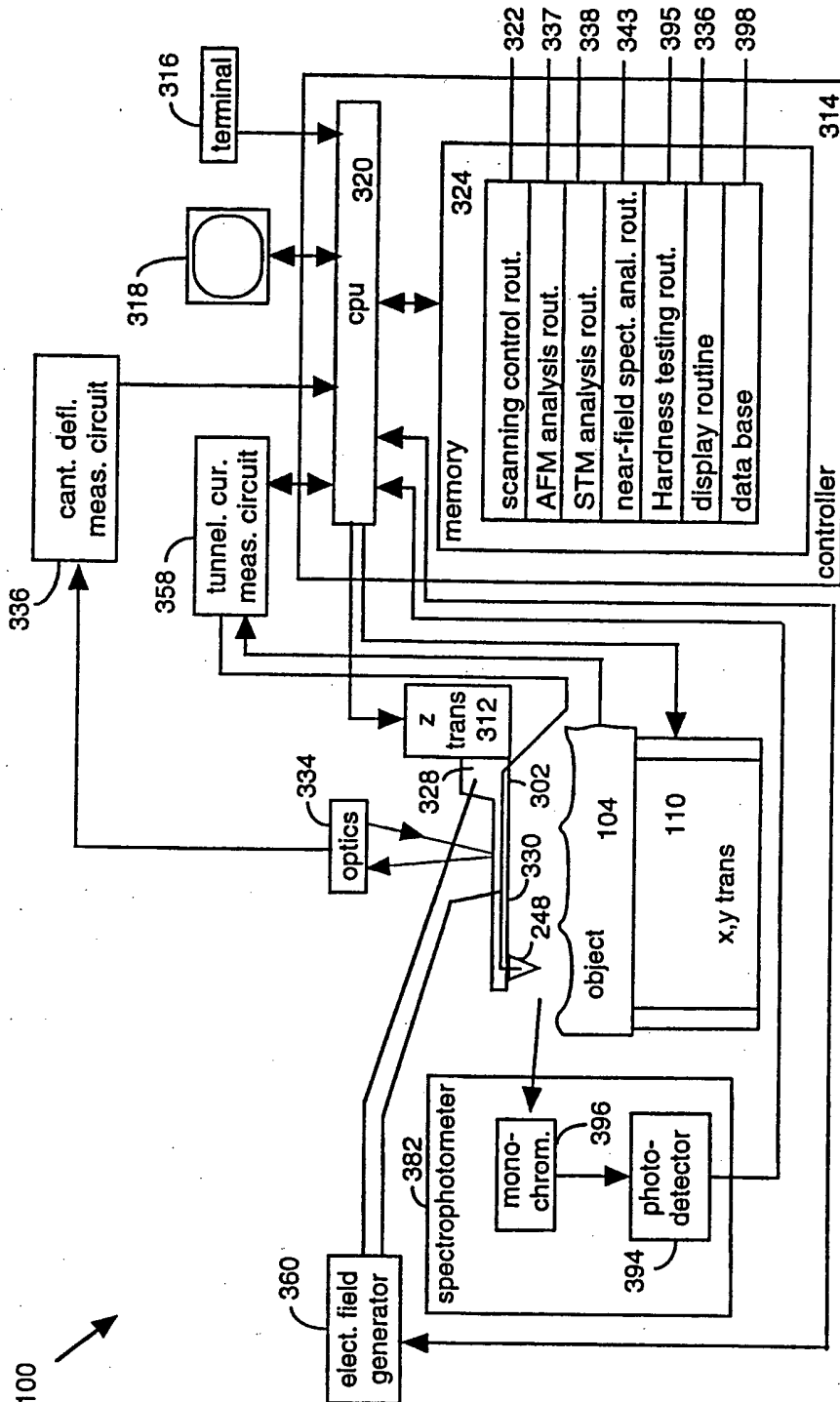


Figure 24

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/12255

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : Please See Extra Sheet.

US CL : 369/126; 250/306; 250/423F; 250/492.2; 365/118; 73/105

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 369/126, 101; 250/306, 307, 308, 310, 423F, 492.2; 73/105, 606, 620; 365/118, 151, 157

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
recording and probe and tip and coating and deform and piezoelectric and insulating

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, E ----- Y	US 5,548,117 A (NAKAGAWA) 20 August 1996, Figs. 1-3, 5 and 6, cols. 3-6(all).	43, 46 ----- 24, 26-29
A	US 5,047,649 A (HODGSON ET AL.) 10 September 1991, Figs. 1, 3 and 4, cols. 4-6(all).	1-6, 12-22, 27-33
A, E	US 5,548,117 A (NAKAGAWA) 20 August 1996, Figs. 1-3, 5 and 6, cols. 3-6 (all).	38-42, 44, 45
A	US 5,357,109 A (KUSUMOTO) 18 October 1994, Figs. 1(a)-1(d), cols. 2-4 (all).	30-37

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A document defining the general state of the art which is not considered to be of particular relevance	*X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E earlier document published on or after the international filing date	*Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z document member of the same patent family
*O document referring to an oral disclosure, use, exhibition or other means	
*P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

04 DECEMBER 1996

Date of mailing of the international search report

18 DEC 1996

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. NOT APPLICABLE

Authorized officer

LOHA BEN

Telephone No. (703) 308-4820

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/12255

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,072,116 A (KAWADE ET AL.) 10 December 1991 (all).	30-37
A	US 5,047,633 A (FINLAN ET AL.) 10 September 1991, Figs. 3, 9 and 10, cols. 5-8 (all).	1-6, 12-22, 27-33
A	US 5,392,275 A (KAWADA ET AL.) 21 February 1995, Figs. 2-6, cols. 2-5 (all).	1-6, 12-22, 27-33
A	US 5,353,632 A (NAKAGAWA) 11 October 1994, Figs. 1, 3, 6 and 7, cols. 4-8 (all).	24-29, 43, 46
A	US 5,283,437 A (GRESCHNER ET AL.) 01 February 1994, Figs. 1, 2 and 5, cols. 6-11 (all).	18-22, 38-42, 44, 45
A	US 4,907,195 A (KAZAN ET AL.) 06 March 1990, Figs. 1-4, cols. 5-7(all).	24-33

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/12255

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/12255

## A. CLASSIFICATION OF SUBJECT MATTER:

IPC (6):

G11B 7/00; G01N 23/00; H01J 27/00; A61N 5/00; G11C 13/00; G01B 5/28

## BOX II: OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1.

Group I, claim(s) 1-6, drawn to a positioning system with clamp structure.

Group II, claim(s) 7-22, drawn to a data storage device with deformable medium.

Group III, claim(s) 23, drawn to a data storage device including acoustic wave generator.

Group IV, claim(s) 24-29 and 38-46, drawn to a porous probe and scanning probe microscope.

Group V, claim(s) 30-37, drawn to a device for interacting with an object.

The inventions listed as Groups I-V do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: Patentability of each invention does not depend on patentability of other inventions. Each invention has acquired a separate status in the art because of their divergent subject matter as pointed out above.